

The Near-Earth Asteroid Tracking (NEAT) Program: A Completely Automated System for Telescope Control, Wide-Field Imaging, and Object Detection

by

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Abstract

The Near-Earth Asteroid Tracking (NEAT) system operates autonomously at the Maui Space Surveillance Site on the summit of the extinct Haleakala Volcano Crater, Hawaii. The program began in December 1995 and continues with an observing run every month. Its astrometric observations result in discoveries of Near-Earth Objects (NEOs), both asteroids (NEAs) and comets, and other unusual minor planets. Each 6-night run NEAT covers about 10% of the accessible sky, detects thousands of asteroids, and detects 2 to 5 NEAs. NEAT has also contributed more than 1500 preliminary designations of minor planets and 26000 detections of main-belt asteroids. This paper presents a description of the NEAT system and discusses its capabilities including sky coverage, limiting magnitude, and detection efficiency.

NEAT now is the most effective discoverer of NEAs larger than 1 km and is a major contributor to NASA's goal of identifying all NEAs of this size. An expansion of NEAT into a network of three similar systems would be capable of discovering 90% of the 1 km and larger NEAs within the next 10-40 years, while serving the additional role of satellite detection and tracking for the U.S. Air Force.

Daily updates of NEAT results during operational periods can be found at internet web address <http://huey.jpl.nasa.gov/~spravdo/neat.html>. The images and information about the detected objects including times of observation, positions, and magnitudes are made available via NASA's *SkyMorph* program at <http://skys.gsfc.nasa.gov/skymorph/obs.html>.

Key words: minor planets — instrumentation: detectors — techniques: image processing

wide-field imaging, object detection

1. INTRODUCTION

1.1 Overview

The Near-Earth Asteroid Tracking (NEAT) program (Helin et al. 1997) is the first fully automated system for controlling a remote telescope, acquiring wide-field images, and detecting near-Earth objects (NEOs). These objects are a subject of much interest in scientific studies because of their effects when they hit Earth (Gehrels 1994) or other planets, and because they contain primeval material from the formation of the solar system (e.g. McFadden, Tholen, & Veeder 1989). Their size distributions and orbits reveal the influences of gravitational perturbations and collisions with each other (Rabinowitz 1997a,b). About 500 of these objects are currently known (Minor Planet Center 1998) but 1000-4000 > 1 km in diameter are thought to exist (Rabinowitz et al. 1994). NEAT results contribute to evaluating the hazard posed by NEOs to the Earth, and provide targets for physical observations and future space missions.

Under an agreement between the U. S. Air Force (USAF) and NASA's Jet Propulsion Laboratory (JPL), California Institute of Technology, JPL is provided access to a wide-field (f/2.2) Ritchey-Chrétien telescope of 1.0 m aperture located at the 3000 m summit of Haleakala Crater on the island of Maui. This is one of several identical telescopes normally used by the Air Force for Ground-based Electro-Optical Deep Space Surveillance (GEODSS) of artificial satellites. Other GEODSS telescopes are located at Haleakala, in Socorro, New Mexico, and on the island of Diego Garcia in the Indian Ocean.

Each month, on-site operators at Haleakala (contracted by the Air Force) mount a JPL-owned digital camera on the GEODSS telescope. The operators then switch control of the telescope and camera to an on-site workstation computer owned by JPL. Thereafter, the operators' role is to open and close the telescope dome, and to start or stop the control software running on the JPL computer. The role of the remote observing team at JPL is to upload a daily script to the on-site computer, instructing the control program where to point the telescope and take exposures. Each area of sky is imaged 3 times at 15 to 30 minute intervals, thus yielding image "triplets". An additional on-site computer, owned by JPL and connected by a high-speed data link to the main controlling computer, automatically identifies asteroids in each triplet based upon their apparent motion relative to the fixed field stars. For each asteroid, the software measures the apparent magnitude and determines astrometric positions. At the end of the night in Maui (beginning of the work day at JPL in California) the JPL team downloads the resulting asteroid images and positional data for visual verification before reporting the observations to the Minor Planet Center in Cambridge, Massachusetts.

The NEAT program has thus operated successfully every month since December 1995. As of April 1998, NEAT is discovering 1 to 2 Earth-approaching asteroids larger than 1 km per monthly 6-night run, a rate not exceeded by any other search program. In this paper we describe the elements of the NEAT hardware and software which have advanced the state of the art for asteroid detection. We then review the demonstrated performance of our current system, and compare to contemporaneous systems. Finally, we predict the capabilities of a "NEAT network" consisting of the existing system (with improvements) and duplicated on two additional Air Force telescopes.

We show that such a system would be capable of detecting 90% of the Earth-approaching asteroids > 1 km in 10 - 40 years. We also discuss the demonstrated capabilities of the NEAT system for detecting and tracking Earth-orbiting satellites. Such a capability might be required if the NEAT camera were to serve dual use as an asteroid and satellite detector.

1.2 *Programmatics and Personnel*

NEAT is a cooperative effort between NASA-JPL and the Air Force Space Command (AFSPC). NASA provides the funds for the JPL-developed camera, computer controller, all the operations and analysis software, and the Science Team. The Science Team at JPL evaluates the data and disseminates the results. Science Team members are Dr. Eleanor Helin, Principal Investigator, Dr. Steven Pravdo, Dr. David Rabinowitz, Co-Investigators, and Kenneth Lawrence. AFSPC provides the site, including the telescope facility and the operations and maintenance personnel. PRC, Inc. has been the contractor performing the operations and maintenance. An AFSPC goal is to evaluate the use of an electronic camera for GEODSS.

The USAF Research Laboratories at Maui (formerly Phillips Laboratory) has participated in the examination of another potential use of NEAT: satellite tracking, the main AFSPC mission for the GEODSS telescopes. NEAT was originally designed to do both asteroid and satellite tracking tasks and is currently being evaluated for the latter (§§ 4.8, 5.3).

2. INSTRUMENTATION

2.1 *Camera hardware*

The NEAT camera was designed and fabricated at JPL in 1995, and its performance has been improved several times since. It consists of a 4096 x 4096 Charge-Coupled Device (CCD) with 15 μm square pixels, associated control and digitization electronics, a thermoelectric cooler, and a mechanical shutter (See Figure 1). At the focus of a GEODSS telescope, the pixel scale is 1.4". Digital commands to control the operations of the camera are transmitted via an optical fiber from the on-site workstation computer. A second fiber transmits the returned imaged data to the workstation. An overriding design consideration for the camera was that it fit at the Cassegrain focus, which is a small confined space internal to a GEODSS telescope. This space is usually occupied by an AFSPC video camera, replaced by the JPL camera during NEAT operations.

The NEAT CCD is a commercial-off-the-shelf part manufactured by Lockheed-Martin Fairchild Systems of Milpitas, CA. It features good cosmetic quality and low dark current. The imaging area is 4080 x 4080 contiguous pixels with less than 0.3% unusable area due to blemishes. There are 4 output nodes or amplifiers that can be sampled in parallel one for each 2048x2048 pixel quadrant. The read noise is 20 electrons at a readout speed of about 200 kpixels s^{-1} . The bandpass is about 4 - 8000 Å determined solely by the CCD response (i.e. no filters).

The dewar is aluminum and accommodates the CCD and associated electronics without room to spare. It is filled with dry Ni and sealed before use.

A two-stage thermoelectric cooler (TEC), with its cold side in thermal contact with the substrate of the CCD, actively transfers heat from the CCD to the back side of the dewar through an aluminum block acting as a conducting path. A cool air loop then removes the heat from the back side of the dewar. This arrangement maintains the CCD operating temperature within $\pm 3^\circ$ of 0° C. The temperature is determined from the voltage across a diode in thermal contact with the CCD support. With the diode conducting a small fixed current, the temperature is proportional to the voltage drop. With the CCD kept at 0° C, the dark current is about $90 \text{ e}^- \text{ s}^{-1} \text{ pixel}^{-1}$.

The mechanical shutter was built at JPL and has a very low (2 mm), narrow (10 cm) profile to fit into the available space inside the GEODSS telescope. It consists of a metallic blade that rotates into or out of the field of view under motor control in about 0.1 s. Shutter position is commanded by the computer through the camera electronics boards, which provide switching signals to an electronic circuit controlling the shutter motor.

San Diego State University (SDSU) built the camera electronics (Leach 1996). This control system allows software modification of the operating parameters and thus can drive a variety of CCDs with minor hardware changes. Since NEAT's inception, an earlier CCD with 2048×2048 pixel has been replaced with the present CCD and earlier versions of the control electronics have been upgraded to increase the readout speed by a factor of 4 from 50 to 200 kpixels s^{-1} .

The electronics controlling the CCD consist of 4 circuit boards: a "timing" board to control the phase and duration of the signals that drive the parallel and serial transfer of charge across the CCD; a "utility" board to control the shutter position and to sample the voltage across the temperature-sensing diode; and two "clock/video" boards which drive the voltages for the parallel and serial clocks and also sample and digitize the video return signals at the 4 quadrants of the CCD.

Both the timing and utility boards have their own digital logic that are separately programmable and addressable via an optical fiber link on the timing board. Precompiled Motorola machine code is thereby downloaded from the workstation computer to control the clocking waveform, shutter timing, and readout timing of the CCD. Upon receiving a signal to expose and readout the CCD camera, the timing board returns the digitized signal to the workstation as a multiplexed, serial byte stream through a separate return fiber.

2.2 Computers

The main, on-site controlling computer is a Sun Sparc 20 computer with two central processing units (CPUs) clocked at 75 MHz. Appendix A gives details of the operating system software. Until May of 1998, this one computer not only controlled the telescope and CCD camera, but also ran the software to identify asteroids. With recent upgrades to increase the camera readout speed and to improve the rate of sky coverage, a Sun Enterprise 450 with 4 CPUs, each clocked at 300 MHz, was added to run the search software.

The Sparc 20 is equipped with electronics built by SDSU to allow the computer to communicate with the camera via the fiberoptic link. The components are mounted on a single circuit board that connects directly to Sun's proprietary data bus (SBUS), internal to the Sparc computer. Software to control this SBUS card under the UNIX operating system was cooperatively written by JPL and SDSU engineers, and recently modified at JPL to allow software handshakes between the camera and Sparc 20 during image readout. The same code has successfully operated on other Sun computers, including Sparc 5 and Ultra 2's. The Sparc 20 is also equipped with a commercially available SBUS card (a DR-11 W emulator built by Ikon Corporation) to allow 16-bit parallel communication with the electronics controlling the drive motors of the GEODSS telescope and dome. The telescope communication link runs from the DR-11 W card through 2 multi-pin cables to a Binary Interface Unit, part of the GEODSS control system, and from there to the telescope tower using the existing GEODSS connections. The camera communication link is 2 ~ 100-m long optical fibers from the SDSU SBUS card to the telescope tower. Additional computer peripherals consist of: a Datum Global Positioning System (GPS) receiver (provided by the USAF) to synchronize the internal clock of the Sparc 20 to Universal Time with an accuracy of a few milliseconds using signals from the GPS; approximately 60 Gbytes of hard disk storage; and a 28.8 Kbps modem for transferring data to computers at JPL and for remote monitoring and control of the telescope and camera from JPL. A standard Sun monitor is provided for on-site operators to monitor image quality and system status.

The recently added Enterprise 450 is equipped with 95 Gbytes of disk space. It runs software (described in Appendix B) to search 4 image triplets in parallel for moving objects, running an identical version of the software on each of its 4 CPUs and with each CPU assigned to analyze a different triplet. At the current rate of 45 s per image (20 s exposure plus 25 s overhead), this computer is able to keep pace with the acquisition of data. Within minutes of the acquisition of the third image in a triplet, the search of that triplet is completed.

3. OPERATING PROCEDURES

3.1 Observing modes and planning

The "survey" is the primary NEAT observing mode. It is designed to discover new objects. In preparation for a night of observing, the first task is to create an observing script that lists the position of the search field. For this purpose we use a sequencing program, run once at the start of each 6-night run. Figure 2 shows a hypothetical 6-night search pattern planned for 1998 September 14-19. The program takes into account the time to expose and read out each image, as well as the number of nights per run and their duration. It thereby determines a search pattern that will uniformly sample the areas of the sky close to the ecliptic and to opposition. In order to keep the telescope pointed near to the meridian, the program targets a given night's search along strips of sky, each perpendicular to the ecliptic, and separated in longitude from one another by 11.25° . With the length of a given strip chosen so that it can be searched in ~45 minutes (~20 fields for the current NEAT system), the search is completed by the time the next strip approaches the meridian. By shifting the longitude of the search strips each night by 2.25° , the program creates a search pattern that uniformly samples the ecliptic within 45° of opposition after 5 nights.

Search areas covered on the first night are repeated on the sixth, thus yielding positions with 6-day separation for any objects moving slowly enough ($< \sim 0.2$ deg/day) to be detected on both nights.

There are additional constraints that shape the search pattern. We generally choose longer search strips within 15° of opposition in order to increase the coverage there. The latitude of the observatory, 20.7° N, and design of the telescope limit the available Declinations to $> -38^\circ$. The time of year limits the hour angles between about 3 hours west at astronomical twilight and 3 hours east at astronomical dawn. Observations within 15° of the galactic plane are also avoided because confusion with stars thwarts asteroid detection. Finally, in cooperation with the Spacewatch search (Scotti, Gehrels, & Rabinowitz 1991), we avoid the relatively small areas of sky that they search each month. The search pattern in Figure 2 shows large gaps where galactic plane appears, and small holes closer to opposition revealing the typical areas searched by Spacewatch.

In addition to the survey search positions, a few positions are scripted each night to follow up objects discovered on previous nights or lunations. Weather and schedule permitting, NEAT follows up all candidate NEOs, comets, or other bodies with unusual orbits or properties. Criteria for deciding if an object is worthy of follow up are described below (Sec 3.3). It is also possible to insert new positions into the observing script while the night-time observations are in progress, thus permitting follow-up observations in near real-time. Several recently occurring gamma-ray burst fields have also been observed using this near real-time method.

For each target position, the observing script also may be used to specify the observation time to ~ 10 sec precision. This feature has been used to test the capabilities of the NEAT system for tracking artificial satellites. Because of the high rates of motion for these objects, the exposures must be obtained within 1 minute of the time they reach their scripted positions. The script may also be used to specify image binning (the summing of neighboring pixels in both the horizontal and vertical directions as an image is read out). Binning reduces the time to read the image in proportion to the number of pixels summed. During tests of satellite tracking, this option has also been used to increase the observation rate.

3.2 *Observing*

Once the observing script has been loaded, and just before the end of nautical twilight, on-site operators prepare the telescope for operation. They remove the mirror cover, open the dome, clear the previous night's data from the disks of the control and analysis computers, and start the control program. The program takes over, pointing the telescope and acquiring images as scripted. The program also acquires dark-current images at one-hour intervals, taken with the shutter closed but with the same exposure time used for the search images. The search program that runs on the analysis computer subtracts these dark-current images from each sky image as part of the analysis procedure (discussed below). If bad weather interrupts the observing, the operators can pause the control program until the weather clears. It will continue where it left off. After a pause or for any other reason, the program will skip a scripted exposure if there is not enough time to obtain an entire triplet of images before the target position has set below 10° elevation. The control

program will proceed with the next position on the script until there are no more, or until the operators stop the program at the start of morning nautical twilight.

While the control computer executes the observing script, an auxiliary program ("the analysis manager") runs on the analysis computer, monitoring log files generated by the control program. As soon as the control program has acquired a complete triplet of images, the analysis manager adds their file names to a processing queue. Appendix A gives a more complete description of the operations system. For each triplet in the queue, the analysis manager launches an additional program (described in Appendix B) to search for asteroids and to record their magnitudes and astrometric positions. Up to four instances of this search program can be run in parallel, each using one of the four CPUs of the analysis computer, and each analyzing a different triplet. For each asteroid, the search program also records 9 small sub-arrays or "patches" of image data (about 25x25 pixels each), 3 from each image in the triplet. Of the three patches taken from a given image, one is centered on the measured position for the asteroid, while the other two are centered on the positions where the asteroid appears in the other two images of the triplet. The 9 patches are later examined by eye to validate the detection (see discussion below).

3.3 Screening

For each analyzed triplet, the search program typically records 50 Kbytes of data (patches plus positions and magnitudes). A typical 10 hour night may yield ~270 triplets, or 15 Mbytes of information. This compares with 26 GB of raw image data and corresponds to a data "compression" by the processing system of a factor of ~2000. The processed data are further compressed and transmitted via modem and commercial phone line to JPL as soon as night-time observations are completed. At JPL, team members use a screening program called PATCHVIEW to visually inspect the 9 patches associated with each asteroid, and also to check the consistency of the measured positions. This serves as a final check of validity of each detection, and to pick out especially interesting objects for follow-up. Such objects are immediately reported to the world-wide observing community via the Minor Planet Center (MPC).

Figure 3a shows an example of the PATCHVIEW display for a given asteroid. The 9 patches are displayed as a 3 x 3 matrix. Column 1 (left) shows the three patches from the first exposure. Columns 2 and 3 (middle and right) show the three patches from the 2nd and 3rd exposures, respectively. If the asteroid is a valid detection, it should appear centered only within the diagonal patches running from the upper left (row 1, column 1) to the lower right (row 3, column 3). These are the locations where the search program found the asteroid in exposures 1, 2, and 3, respectively. The asteroid should not appear centered in any other patch in the matrix. These "veto" patches show the same locations as the diagonal patches, but at the times when the asteroid had not yet moved there, or when the asteroid had already moved away. For example, columns 2 and 3 of row 1 are patches from images 2 and 3, respectively, showing where the asteroid had appeared in exposure 1. Similarly, columns 1 and 2 of row 3 are patches from exposures 1 and 2 showing where the asteroid would appear in exposure 3.

Visual examination of these 9 patches is an efficient method to quickly identify the most common source of false positives from the search program: faint stars at the limit of detection. An example

is shown in Figure 3b. An object appears centered in the diagonal patches, but also in the veto patches. This observation clearly shows a star, and not an asteroid. The software incorrectly finds an asteroid, here, because it has only marginally detected the star. Because of the influence of random noise, and variation in atmospheric conditions (seeing and extinction), a faint star can appear above the detection threshold in one exposure, but below the threshold in the other two. The search program occasionally detects these faint stars (and other image artifacts) in such a way that they appear to be observations of a moving object.

For each asteroid, the PATCHVIEW program also displays ancillary information, such as the time, magnitude, position, and rate of motion (ecliptic and equatorial coordinates). A plot of the ecliptic rate of motion is used to decide if the asteroid has an interesting rate of motion. If the motion is outside the boundaries for the motion expected of main-belt asteroids (empirically determined, see Rabinowitz 1991), it is scheduled for follow-up and reported to the MPC as an interesting object. PATCHVIEW also calculates the deviation of each asteroid's measured positions from linear motion. If the deviation is larger than would be expected from measurement error, a decision may be made to reject the object, or to make further confirmatory observations before reporting it.

Each object detected with NEAT is assigned a unique, unpronounceable name. First a number is constructed based on the elapsed time of an exposure starting with the beginning of 1995, incremented by the object number within the exposure. This number is then translated into a 6-alphanumeric character name. Base 36 (26 letters + 10 digits) is used. The largest number is therefore $36^6 - 1 = 2176782339$. For 10 years of observations with one exposure every 10 seconds this allows 70 unique names for objects per exposure. When an object is confirmed by recovery on a subsequent day with NEAT or other observers, it is assigned an official preliminary designation by the MPC.

3.4 Archiving

At the end of the evening, while the night's haul of data is downloaded and screened at JPL, an archive program (Klimesh 1998) is run remotely at the Maui site to compress all the raw image data collected during the night (lossless compression by a factor ~ 2) and store it to Digital Linear Tape (using a Quantum DLT7000 tape drive). These tapes are later shipped to JPL for incorporation into the *SkyMorph* archive (Pravdo et al. 1998). This is a separate research program cooperatively run by JPL and NASA Goddard Space Flight Center. The goal is to create a data base of images and object information (brightness, shape, and position versus time for asteroids, comets, stars, galaxies, etc.) derived from the NEAT data and accessible on the internet. To date, more than 25,000 NEAT images have been archived by the SkyMorph project. The archive can be accessed via the World Wide Web at address <http://skys.gsfc.nasa.gov/skymorph/obs.html>.

4. RESULTS

4.1 Discoveries and incidental detections

Since December of 1995, NEAT has detected more than 26,400 asteroids, and been credited with discovery of 32 NEAs, 2 comets (C/1996 E1 and C/1997 A1), and the only known asteroid (1996 PW) with an orbit indistinguishable from an Oort-cloud comet (Rabinowitz et al. 1996, Weissman and Levison 1997, Hicks et al. 1998, Davies et al. 1998). Table 1 lists the orbital elements and absolute magnitude not only for NEAs discovered by NEAT, but also for previously discovered NEAs that were detected solely by chance (incidental detections). All detections were flagged as interesting by our screening program (described in Sec 3.3). For each NEA, the table also lists the observed visual magnitude, V , and opposition geometry (longitude with respect to opposition, Δlon , and latitude, Δlat) and the angular rate, w , at detection.

Tables 2 and 3 show the orbital elements for the comets and unusual minor planets discovered with NEAT, respectively. NEAT has serendipitously detected 4 comets in addition to its 2 discoveries (see Figure 4). Unusual minor planets such as 1996 PW are not NEAs but are notable since they have eccentricities (e in Table 3) larger than 0.4.

4.2 Limiting magnitude

To evaluate the effective limiting magnitude, V_l , for asteroid detection, we show in Figure 5 the number of detected asteroids, N , as a function of apparent magnitude, V . Nearly all of these asteroids are in the main belt. Each observation was verified by visual inspection (see § 3.3) and reported to the MPC during the course of normal survey operations during the period December 1995 to August 1998. As discussed in Rabinowitz (1993), there is a turnover at the faint end of the histogram, where the efficiency of detection decreases. Limit V_l is the value of V for which the efficiency drops by 50% relative to the nominal efficiency at bright magnitudes (discussed further, below). This limit is found by fitting a function of the form $f(V) = 10^{[c_1 + c_2 V]}$ to $N(V)$ at bright magnitudes ($V = 12$ to 18) and evaluating the expression $N(V)/f(V) = 0.5$. With $c_1 = -3.241 \pm 0.069$ and $c_2 = 0.3602$, this yields $V_l = 19.1 \pm 0.1$. Here we estimate the error in V_l from the uncertainty of our magnitude calibrations (± 0.1 from observation of faint standards) and from the uncertainty in c_1 .

Note that the value we determine for slope c_2 is lower by $\sim 20\%$ than the slope reported by Rabinowitz (1993) for Spacewatch observations of main-belt asteroids. This variance may result from two important differences between NEAT and Spacewatch: (1) NEAT does not detect the slower main-belt asteroids with $w < 0.15$ deg/day, whereas the Spacewatch cutoff is $w < \sim 0.05$ deg/day (Rabinowitz 1994); and (2) the NEAT efficiency for detection of main-belt asteroids does not vary significantly with V for $V < 18$ (see discussion below), whereas the Spacewatch efficiency drops from 80%-90% for $V > 19$ to $\sim 60\%$ for $V = 14-19$ (Jedicke and Herron 1997). Difference (1) prevents NEAT from detecting as large a fraction of distance asteroids at the outer edge of the main-belt as Spacewatch, thereby lowering the relative number of faint detections. Difference (2) artificially biases Spacewatch against the detection of bright asteroids, thereby increasing the slope of their magnitude-frequency curve relative to NEAT. A more detailed analysis of these

effects will be required to fully understand the different values observed for the slope and will be reported elsewhere. However, it is clear from the results of Spacewatch that the magnitude-frequency of the main-belt asteroids does not decrease in the range $V=18$ to 20. Hence, the decrease observed by NEAT in this range must be a measure of the decrease in detection efficiency.

Also plotted in Figure 5 are the number of detected NEAs versus V . If there were more detections, we could separately determine V_1 for these objects from the shape of their magnitude-frequency curve. Given the limited number, however, it is more accurate to use the main-belt curve. It is nonetheless clear from Figure 5 that the limiting magnitude determined from the main-belt detections is consistent with the limit for NEA detections.

4.3 Absolute detection efficiency

To evaluate the absolute efficiency of the NEAT system, we calculated the expected positions and V magnitudes of all numbered asteroids appearing in our search fields in selected clear nights (December 25 in 1997, Jan 24, February 23, February 25, Mar 24, and March 25 in 1998). Orbital elements and H values were taken from the MPC catalogue. For each predicted position, a check was made for a detected asteroid with consistent position, rate, and magnitude. Figure 6a show the expected number, the detected number, and the fractional number detected (detection efficiency) as a function of w . It is apparent that our efficiency is nearly constant (to within sampling error) for $w > 0.15$ deg/day. For $w < 0.11$ deg/day, the efficiency is near 0. At such low rates, the pixel displacement of an asteroid is less than can be resolved (3 pixels = 4.3") by the NEAT system in the nominal time interval (15 minutes) between search images. Despite the loss of objects moving at slow rates we have maintained our sampling interval at 15 minutes because the number of false detections is dramatically lower than that at longer intervals, decreasing with the square of the interval.

Figure 6b shows the expected and detected number of asteroids and the resulting detection efficiency as a function of, V , but only for numbered asteroids with $w > 0.15$ deg/day. Here, V is the predicted value for the asteroids, adjusted by +0.5 magnitudes to account for an observed variance between the observed and predicted values. We believe this variance occurs because we did not take spectral albedo into account in our predicted V magnitudes, and because the H magnitudes in the MPC catalogue are accurate only to ± 0.5 magnitudes. Averaging the resulting detection efficiency, weighted by the number of detections in each magnitude bin in Figure 6b, yield $88.0 \pm 0.1\%$ for $V < 18$. The efficiency drops to 50% at $V \sim 19$, consistent with the value $V_1 = 19.1$ derived in Sec 5.2, above.

Although the efficiencies plotted in Figs. 6a and 6b and the value for V_1 determined in § 4.2 were determined from observations of main-belt asteroids, we expect these results also to apply to the detection of NEAs with higher angular rates. Given our 20 s exposures and pixel scale of 1.4", an object must move more than 2 deg/day before leaving a trail. Below that rate, the only difference between the detection of a main-belt asteroid and the detection of faster moving objects is the displacement between exposures, which we do not expect to influence detection efficiency. Above 2 deg/day, image trailing will have some influence on the accuracy of centroid

measurements. However, because of tracking errors and focus variation across the field, the trail would have to be longer than 3 pixels before it would have a significant influence. Hence, we expect the detection efficiencies and magnitude limit discussed above to apply to the detection of NEAs with rate of motion as high as 5.0 deg/day.

4.4 Sky coverage

As we have made technical improvements to our system, our rate of sky coverage has increased with time. Since the start of operations with our 4K x 4K chip in April 1996, and up until August of 1997, the average time required to complete a single 20 s exposure was ~ 160 s. This included 10 to 20 s to position the telescope, ~ 10 s to clear the CCD image and prepare the camera for the next exposure, ~ 80 sec to read out the exposure and download the image to the workstation computer, and ~ 20 s to descramble the multiplexed byte stream from the 4 quadrants of the CCD image. Overhead to re-read failed exposures (caused by intermittent transmission errors) added an additional ~ 10 s per image. In August of 1997, SDSU delivered upgraded control electronics, allowing us to read out our CCD in 23 s. We also made various improvements to speed camera preparation and image descrambling, and to reduce transmission errors. Our complete cycle time then dropped to 73 s. Finally, in May of 1998 we modified our control program so that it no longer waited for the read out of an exposure to complete before moving the telescope to the next scripted position. We also off-loaded the descrambling task to the analysis computer. These modification decreased the cycle time to its current value of 45 s.

Figure 7 shows our cumulative sky coverage for the total period of time we have operated with the 4K x 4K chip. Changes in the slope of the curve occur when changes were made in the cycle time, discussed above, and when the Air Force reduced our time allocation from 12 to 6 nights (January 1997). Since June of 1998, our rate of sky coverage has been ~ 70 sq. deg. per hour, allowing us to search 700 sq. deg. per 10-hour night, or approximately 4200 sq. deg. per run of 6 clear nights. In fact the observing efficiency because of weather is only about 65% resulting in sky coverage of about 2700 sq. deg. per run. Figure 8 shows two years of NEAT sky coverage in celestial coordinates. Note that the ecliptic plane is well-delineated. Gaps in the sky coverage occur where the ecliptic and galactic planes intersect.

4.5 Rate of discoveries and detections

The dotted line in Figure 7 shows the cumulative number of NEAs we have detected (including incidental detections) as a function of time. This curve naturally follows the plot of cumulative search area because the chance of detecting an NEA increases with sky coverage. NEAs with diameters, $d > 1$ km ($H < 18$), are represented by large unfilled circles. Smaller NEAs are presented by small, filled circles. We have detected a total of 49 NEAs after searching 36,000 sq. deg., thus yielding an average detection rate of 1.4 ± 0.2 NEAs per 1000 sq. deg. Of these detections $\sim 54\%$ are discoveries, and 58% are NEAs larger than 1 km ($H < 18$). Note that in some cases, our incidental detections would be discoveries had other search programs not been searching the same areas at the same time (e.g. 1998 EC₃, 1998 FF₂, 1998 FX₂, 1998 FX₁₃₄).

4.6 NEA detection rate vs opposition geometry

As described in § 3.1, our strategy for choosing search areas is to concentrate the areas close to the ecliptic and to opposition. This maximizes our detection rate for main-belt asteroids, which serve as a good measure for our system performance (see §§ 4.2 and 4.3). This strategy may also enhance our detection rate of NEAs, although by a smaller factor than for main-belt asteroids because the apparent distribution of NEAs on the sky is not as strongly concentrated toward the ecliptic or toward opposition (Drummond and Rabinowitz 1993, Bowell & Muinonen 1994).

Figures 9a and 9b show the total area we have searched (solid line) as a function of $\sin(\text{lat})$ and d_{lon} , respectively. Also shown are the numbers of main-belt asteroids (faint dashed line) and NEAs (heavy dotted line) we have detected as a function of the same two angles. The curves have been scaled to overlap at their peak values (the scale for the main-belt detections is 500 times the scale for the NEAs). If NEAs were preferentially detected close to the ecliptic or close to opposition, then the number of detected NEAs would drop off more quickly with $\sin(\text{lat})$ or d_{lon} than would the search area.

Comparing the curves for search area, for detected NEAs, and for main-belt asteroids in Fig. 9a and 9b, it is clear that the NEAs are not preferentially detected at opposition. As a function of d_{lon} , the two curves are similar for $d_{\text{lon}} = -120^\circ$ to 0° . For $d_{\text{lon}} = 0$ to 50° , the NEA detection drops off less quickly than area. For main-belt detections, however, the drop-off relative to area is pronounced, especially in the range $d_{\text{lon}} = -50^\circ$ to 0° . As a function of $\sin(\text{lat})$, the NEA detections and search area are similar except in the interval $\sin(\text{lat}) = 0.3$ to 0.6 . Here there are no detected NEAs although 4420 sq. deg have been searched. If our detection rate of NEAs were independent of lat , we should have detected ~ 6 NEAs in this interval. On the other hand, at higher latitudes ($\sin(\text{lat}) = 0.6$ to 0.9) we detect NEAs at approximately the same rate (3 detections in 2430 sq. deg.) as near the ecliptic. This is not the case for the main-belt detections, which clearly drop off more quickly with $\sin(\text{lat})$ than area, and for which there are no detections for $\sin(\text{lat}) > 0.6$.

4.7 Comparisons with other search programs

To compare the performance of the NEAT search with the performance of other search programs, we have examined the orbits and absolute magnitudes, H , for all NEA listed by the MPC with discovery dates from 15 October 1997 to the present (8 August 1998). Table 2 shows the total number of bodies of each orbital type (Atens which have semimajor axis, $a < 1.0$ AU, Apollos which have $a > 1.0$ AU and perihelion, $q < 1.0$ AU, and Amors which have $q < 1.3$ AU) that have been credited to the three dominant search programs (NEAT, Spacewatch, and LINEAR). Also shown for each group are the total number of discovered NEAs, the total number with likely diameters greater than 1 km ($H < 18$), and the number of nights per month's observing run. The time period is chosen so that it represents an interval when all three programs have been active. In Figure 10 we also show for this time period the total number of NEAs detected by each group as a function of H .

From Table 2 and Figure 10 it is apparent that LINEAR and Spacewatch have detected the greatest number of NEAs, respectively 1.5 and 3.7 times the number detected by NEAT. The main reason for this predominance is that LINEAR and Spacewatch have had more frequent telescope time (18 nights per month for Spacewatch, 10 to 18 nights per month for LINEAR, only 6 for NEAT). However, for bodies larger than 1 km, NEAT's relative detection rate is much higher. Table 2 respectively shows 7, 4, and 10 detected by NEAT, Spacewatch, and LINEAR. Given the fewer number of observing nights for NEAT, it is apparent that NEAT has had the highest efficiency for detecting the larger NEAs.

4.8 *Satellite tracking*

On the night of November 26, 1997 (UT), tests were made of the NEAT capability for satellite tracking. Prior to the start of the night, multiple positions were calculated for 13 different satellites with precisely known orbits and scripted for observations by the NEAT control program. Precise observation times were scripted, so that the target satellites would appear nearly centered in each exposure. Images were binned 2x2 pixels to reduce the readout time to 10 s. Also, for each exposure the control program was configured to open the shutter for 10 s, wait with the shutter closed for 10 s, and then open the shutter for an additional 20 s before reading out the image. Owing to the motion of the satellite during the interval with the shutter closed, each satellite left two trails in the image. This allowed for multiple measures of each satellites position from a single exposure. Fig 11 shows one of the satellite images obtained in this way. Notice that another satellite image was captured on the same field.

Figure 12 shows the difference between the measured and expected positions resulting from the NEAT observations, with the Declination residuals plotted against the residuals in Right Ascension. Because of the precision with which the satellite orbits were known, these residuals show the measurement precision (both in position and time). It is apparent the measurement precision is $\sim 1''$ in Declination, and $\sim 2''$ in Right Ascension. These tests also demonstrated the throughput of the NEAT observing program. With one satellite per image, a satellite position could be measured 40 times per hour, 2 - 3 times faster than the current GEODSS system.

5. DISCUSSION

5.1 *Relative limiting magnitudes*

The magnitude limit, $V_1 = 19.1 \pm 0.1$, derived in § 4.2 is a reasonable result given the limit, $V_1 = 20.6 \pm 0.2$, achieved by Spacewatch with their 0.9 Newtonian prior to September 1992 (Rabinowitz 1994). The Spacewatch limit has since been improved with the use of a more sensitive CCD and corrective optics. However, we restrict our comparison to the older system because it serves a good standard, and because Rabinowitz (1991) presents an analysis of the factors determining that limit. If two detector systems, 1 and 2, have CCDs with the same quantum efficiency and spectral response, use telescopes of the same aperture and reflectivity, observe through skies of the same brightness, and if dark current and read noise are negligible for both systems, then the difference in their limiting magnitudes, ΔV , is given by

$$\Delta V = 1.25 \log[(t_1 p_2)/(t_2 p_1)] \quad (1)$$

where t_1 and t_2 are the exposure times, and p_1 and p_2 are the areas of sky covered by the CCD image of a typical star (including the full area of pixels only partially covered) for the respective systems. From Rabinowitz (1991), we have $t_1 = 165$ and $p_1 = 13.14 \text{ arcsec}^2$ (3×3 pixels) for Spacewatch, and for NEAT we have $t_2 = 20$ s and $p_2 = 8.12 \text{ arcsec}^2$ (2×2 pixels). Hence, if the assumptions going into Eq. (1) were valid, NEAT would achieve a magnitude limit 0.88 brighter than Spacewatch, or $V_1 = 19.7 \pm 0.2$. However, the NEAT limit is brighter than this initial estimate because the dark current for NEAT camera is significant, whereas it is negligible for liquid-nitrogen cooled Spacewatch camera. At the nominal operating temperature near -3°C , the measured dark current is $\sim 90 \text{ e}^- \text{ s}^{-1}$ per pixel for NEAT, a factor of 1.3 higher than the measured sky background ($69 \text{ e}^- \text{ s}^{-1}$ per pixel). Furthermore, the dark current varies from pixel to pixel by an amount that is much larger than its own Poisson variation (square root of the integrated count per pixel). Our analysis software removes this non-uniformity from each search field by subtracting dark images of equivalent exposure time. Unfortunately, this subtraction also doubles the contribution of the Poisson variation. Hence, the contribution of image noise to NEAT's magnitude limit is larger by a factor of $(2 \times 1.3 + 1) = 3.6$ than the contribution of image noise to the Spacewatch limit, for which the sky noise is the only contributor. Incrementing our estimate of ΔV by $1.25 \times \log(3.6)$ yields the estimate $V_1 = 19.0 \pm 0.2$ for NEAT, consistent with our measured value.

5.2 Relative detection rates

Equation (1), above, also helps to explain NEAT's advantage with respect to Spacewatch for detecting NEAs larger than ~ 1 km. As t is shortened, the sky area, S , that can be searched in a given time interval increases proportionately. Even though V_1 decreases, thereby decreasing the apparent sky density, D , of NEAs, the product $S \times D$ increases, thereby increasing the detection rate of NEAs. This is confirmed by the results of the Spacewatch and NEAT searches, which determine D as a function of V . As described in Rabinowitz (1994), Spacewatch observed 1.8 ± 0.6 large NEAs ($H < 18$) per 1000 sq. deg. in a search to limit $V_1 = 20.6 \pm 0.2$ prior to September 1992 (a total of 9 were detected in 4843 sq. deg.). Given the value $D = 0.78$ per 1000 sq. deg. observed by NEAT at $V_1 = 19.1$, and assuming D is proportional to b^V , then $b = 1.8 \pm 0.2$. Hence, for NEAs larger than ~ 1 km, the total detection rate for a given system goes as $[1.8^{1.25 \log(t)}]/t$ or $(1/t)^{0.7}$. Taking into account the respective field sizes (2.6 and 0.34 sq. deg), exposure cycle times (45 and 140 sec), nights per run (6 and 18), and the limiting magnitudes (19.1 and 21.5) for NEAT and the current Spacewatch system (Scotti 1998), the relative detection rate of large NEAs should be $(6/18) \times (2.6/0.34) \times (140/45) \times 1.8^{19.1-21.5} = 1.9$. This is consistent with the detection ratio $7/4 = 1.8$ for large NEAs given by Table 2, given the sampling error (60%).

5.3 Satellite tracking potential

The results of the satellite observations discussed in §4.8 show that the NEAT system has a satellite tracking performance that exceeds the dynamic range, precision, and throughput of the current USAF operational system, a high-voltage video-tube-based instrument. The limiting

magnitude for the operational instrument is about $V = 15$ while NEAT is able to detect satellites at least 3 magnitudes fainter. With one satellite per image, the throughput of NEAT is 2 - 3 times faster than the current GEODSS system. Another advantage of the NEAT system is the capability of observing several satellites per exposure. Typical GEODSS procedures generate track data on only one satellite in the field of view at any one time, regardless of how many satellites are visible in the image. Processing of NEAT data, however, allows multiple tracks to be obtained per image. This is similar to asteroid observations, which can be processed to obtain track data on multiple asteroids per image. With an algorithm which schedules satellite observations so that multiple satellites appear in the field of view, the throughput of such a system can be increased several fold. Furthermore, the test observation described in § 4.8 were made prior to improvements in the control program allowing telescope repositioning during image readout and allowing off-line descrambling. Combining these latest improvements with careful scheduling, the NEAT system offers a dramatic increase in throughput relative to current GEODSS procedures.

5.4 *The NEAT network*

NEAT is a prototype for an expanded network of similar systems, capable of fulfilling NASA's goal to detect and catalog at least 90% of the 1 km and larger NEAs by 2010 (Spaceguard Survey Report 1992, NEO Survey Workgroup Report 1995). Here, we estimate the capabilities of a network of three NEAT cameras, each operating on a different 1.0 m GEODSS telescope, and each allowed 18 nights per run to conduct the search. Such a system would displace the video-based cameras currently used at these telescopes to track artificial satellites. However, given the success of the satellite observations described in § 4.8, this system would be capable of both tasks.

The goal to find 90% of the large NEAs in 10 years can be restated as a target detection rate, R , of both new NEAs and incidental detections. Assuming a constant and random detection probability, r , per unit time, t , for each NEA, then the number of undiscovered NEAs will decrease with time as $N_0 e^{-rt}$, where N_0 is the number that are undiscovered at $t = 0$. Already 227 NEAs larger than 1 km are known, and the estimated total population is $N = 1000-4000$ (Shoemaker et al. 1979, Rabinowitz et al. 1994), so $N_0 = N - 227 = 773-3773$. Then to have 10% of the total population (100 - 400 objects) remaining undiscovered after 10 years requires $r = \ln(N_0/0.1N)/10 = 0.205-0.224$ per year. Hence, $R = N r = 200-900$ NEAs per year. At the current discovery rate of 36 large NEAs per year, it will take 60-250 years to accomplish this goal. Preliminary results of Rabinowitz et al. (1998) from recent NEAT and other data indicate a flattening of the N vs. H curve for these objects and point toward the lower number bound and thus shorter timescale for discovery.

With a network of 3 NEAT systems operating, and without any improvements to the camera and telescope systems, the situation improves but the detection rate still falls short of that required. Assuming 60% clear weather per telescope site (Maui is about 65% but other sites may be less), and assuming a practical limiting area of 16,000 sq. deg per month, each telescope would be required to search an average of 494 sq. deg. per night or 49.4 sq. deg. per hour. With a field size of 2.6 sq. deg, this translates to 19 triplets per hour, or 63 s per image. The overhead per image with the current NEAT system is 25 sec, so this cycle time would allow exposure times of 38 s. The limiting magnitude would therefore increase by $1.25 \log(38/20) = 0.3$ magnitudes to $V_1 =$

19.4. Our detection rate near the ecliptic of NEAs > 1 km would increase from the current value by the factor $1.8^{0.3}$ to 0.93 per 1000 sq. deg (see § 5.2). Far from the ecliptic, however, we can expect a lower detection rate, as illustrated by our results presented in § 4.6. Whereas we detect NEOs at an approximately constant detection rate for $\sin(\text{lat}) = -0.3$ to 0.3 , at higher latitudes our detection rate for NEAs > 1 km drops by a factor of ~ 3 to 0.3 ± 0.2 per 1000 sq. deg. (we detected 2 in a search of 7200 sq. deg. with $\sin(\text{lat}) > 0.3$) Averaged over all sky area in the searchable range $\sin(\text{lat}) = -0.3$ to 1.0 , our detection rate of these large NEAs is about $60 \pm 10\%$ lower than the rate near the ecliptic. This is consistent with the predictions of Bowell and Muinonen (1994), who show that the apparent sky-plane density of NEOs $\text{lat} = 30^\circ$ and 60° should drop by 60% and 40%, respectively, compared to the apparent density on the ecliptic for $V_1 = 18$ and 20 . Hence, the yearly detection rate of NEAs > 1 km for a nearly full-sky search of 16000 sq. deg. per month would be about 0.6×0.93 NEAs per 1000 sq. deg. $\times 16,000$ sq. deg. $\times 12$ months per year = 110. At this rate, the time to 90% detection of the large NEAs would be 20-80 years.

With some simple improvements to the camera and telescope system, the goal of a 10 year program can be met, at least at the lower bound of the NEA number range. By cooling the NEAT cameras to -30°C , the dark current would become negligible compared to the sky noise. This would increase V_1 by 0.7 magnitudes (see § 5.1). By reducing the overhead in the exposure cycle by 5 sec, this would allow an additional 5 s per exposure, thus increasing V_1 by 0.07 magnitudes. Finally, by reducing the tracking error of the GEODSS telescopes, which currently causes images to trail in RA by 2 or more pixels, the spot size of image would be reduced by at least a factor of 2. This would increase V_1 by 0.37 magnitudes. Together, all these improvement increase the NEAT sensitivity by 1.1 magnitudes to $V_1 = 20.5$. The sky density of NEAs larger than 1 km then increases by $1.8^{1.1} = 2.0$, and the total detection rate increases to 200 per year. At this rate, 90% of the 1000-4000 NEAs > 1 km would be discovered in 10-40 years.

6. CONCLUSIONS

In the foregoing discussion, we have described the construction and operation of the NEAT system and documented the performance in terms of limiting magnitude, detection efficiency, rate of sky coverage, and detection rate. We have also discussed the capabilities of the system for satellite tracking. Compared to all other search programs, NEAT is the best for detecting the largest and most hazardous NEAs. Given only 6 nights per observing run, it is remarkable how well this system has competed with other systems with unlimited telescope access. Based on an analysis of the factors limiting the sensitivity of the current system, and of the factors affecting NEA discovery rates, we have predicted the performance of a NEAT network consisting of the existing system operating on a total of three GEODSS telescopes. With small improvements to marginally lower the sensor temperature, to reduce the exposure cycle time, and to reduce the tracking error of the telescope, such a system would meet the challenge of detecting within the next decade most of the NEAs posing a long-term threat to civilization.

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Table 1. Orbital Elements and Discovery Circumstances for Detected NEAs

| Designation | a(AU) | e | i(°) | H | dlon(°) | lat(°) | w(°/day) | V | MJD | Class |
|-------------|-------|-------|------|------|---------|--------|----------|------|------|--------|
| 1996 EN* | 1.507 | 0.431 | 38.0 | 16.5 | -24.8 | 0.5 | 0.94 | 16.4 | 0157 | Apollo |
| 1996 EO* | 1.341 | 0.401 | 21.6 | 19.0 | 6.6 | 0.1 | 1.15 | 16.9 | 0157 | Apollo |
| 1996 FQ3* | 2.031 | 0.471 | 1.1 | 21.0 | 23.4 | 4.8 | 1.00 | 17.2 | 0168 | Amor |
| 1996 FR3* | 2.165 | 0.795 | 8.3 | 17.0 | 24.4 | 4.3 | 0.78 | 16.6 | 0168 | Apollo |
| 1996 KE* | 2.565 | 0.537 | 24.3 | 19.0 | -16.3 | 2.1 | 2.21 | 15.8 | 0222 | Amor |
| 7482 | 1.346 | 0.328 | 33.5 | 16.8 | 7.6 | -16.1 | 2.91 | 17.3 | 0315 | Apollo |
| 4179 | 2.512 | 0.634 | 0.5 | 15.3 | -25.6 | -0.2 | 0.52 | 15.7 | 0312 | Apollo |
| 1996 RY3* | 1.211 | 0.139 | 37.4 | 21.0 | 6.7 | 2.0 | 2.61 | 18.7 | 0341 | Amor |
| 1996 SK* | 2.428 | 0.796 | 2.0 | 17.0 | -9.5 | 2.0 | 0.61 | 19.5 | 0343 | Apollo |
| 1996 TO5* | 2.381 | 0.516 | 21.0 | 16.5 | -64.5 | -0.7 | 0.42 | 19.0 | 0365 | Amor |
| 1996 TD9* | 1.333 | 0.404 | 5.0 | 24.0 | 12.1 | 7.4 | 2.08 | 19.9 | 0368 | Apollo |
| 1996 TE9* | 1.793 | 0.326 | 21.6 | 19.0 | -32.6 | -0.3 | 1.57 | 18.0 | 0369 | Amor |
| 5626 | 2.196 | 0.453 | 3.9 | 14.7 | -73.6 | 0.0 | 0.35 | 16.0 | 0372 | Amor |
| 1997 AC11* | 0.913 | 0.368 | 31.7 | 21.0 | 43.8 | -2.8 | 2.30 | 19.0 | 0458 | Aten |
| 2368 | 2.105 | 0.414 | 5.3 | 15.2 | 16.1 | -2.4 | 0.28 | 20.2 | 0463 | Amor |
| 1917 | 2.150 | 0.504 | 23.9 | 13.9 | -16.4 | -3.0 | 0.29 | 19.2 | 0543 | Amor |
| 1997 GH3* | 2.487 | 0.573 | 3.0 | 17.0 | -80.2 | -1.2 | 1.51 | 16.7 | 0544 | Amor |
| 1997 NC1* | 0.866 | 0.209 | 16.7 | 18.5 | -83.5 | -0.3 | 2.39 | 16.5 | 0634 | Aten |
| 1997 PN* | 2.224 | 0.422 | 26.4 | 20.0 | -4.6 | -3.7 | 1.70 | 18.5 | 0661 | Amor |
| 1997 SE5* | 3.722 | 0.667 | 2.6 | 15.0 | -62.2 | 6.9 | 0.93 | 15.4 | 0719 | Amor |
| 1997 TD* | 2.250 | 0.468 | 12.9 | 16.5 | -72.6 | -14.4 | 0.94 | 17.0 | 0722 | Amor |
| 1997 UH9* | 0.830 | 0.475 | 25.5 | 19.0 | 20.4 | -6.1 | 2.00 | 17.6 | 0750 | Aten |
| 4183 | 1.980 | 0.638 | 6.8 | 14.4 | -1.4 | 12.3 | 0.45 | 17.0 | 0751 | Apollo |
| 1997 WB21* | 1.461 | 0.318 | 3.4 | 20.5 | -40.1 | 15.4 | 1.36 | 18.8 | 0778 | Apollo |
| 1997 WU22* | 1.468 | 0.442 | 16.0 | 15.5 | -1.3 | 7.4 | 0.49 | 18.7 | 0782 | Apollo |
| 1997 YM9* | 1.095 | 0.104 | 7.9 | 25.0 | 31.8 | 10.6 | 4.18 | 18.8 | 0810 | Apollo |
| 1997 YR10* | 1.721 | 0.334 | 36.8 | 20.5 | -32.6 | -10.1 | 3.16 | 18.5 | 0811 | Amor |
| 3838 | 1.505 | 0.702 | 29.3 | 15.5 | -93.6 | 2.6 | 1.05 | 18.5 | 0812 | Apollo |
| 1980 | 1.710 | 0.365 | 26.9 | 13.9 | -57.7 | -13.4 | 0.62 | 14.7 | 0812 | Amor |
| 1998 BX7* | 2.609 | 0.504 | 9.0 | 16.5 | 20.9 | -4.2 | 0.36 | 18.2 | 0837 | Amor |
| 1998 BG9* | 2.507 | 0.534 | 13.0 | 19.5 | 31.5 | 16.9 | 1.06 | 18.1 | 0837 | Amor |
| 5751 | 2.104 | 0.423 | 16.1 | 14.8 | -69.0 | -13.4 | 0.52 | 16.3 | 0838 | Amor |
| 1998 BB10* | 1.274 | 0.426 | 11.6 | 20.5 | 11.0 | 3.2 | 1.53 | 17.8 | 0838 | Apollo |
| 1998 BZ7* | 2.038 | 0.555 | 6.5 | 17.5 | 30.8 | 8.8 | 0.58 | 17.2 | 0837 | Apollo |
| 1998 BP26* | 1.719 | 0.256 | 20.2 | 17.5 | 58.2 | 5.7 | 0.79 | 18.2 | 0841 | Amor |
| 1998 DG16* | 0.902 | 0.343 | 15.7 | 20.5 | 39.9 | -3.2 | 1.01 | 19.0 | 0871 | Aten |
| 1998 FM5* | 2.265 | 0.555 | 11.5 | 16.0 | -67.8 | -5.2 | 2.04 | 15.6 | 0896 | Amor |
| 1998 FF2 | 1.562 | 0.292 | 11.0 | 19.0 | -9.8 | 2.8 | 0.63 | 19.7 | 0892 | Amor |
| 1998 FX2 | 2.149 | 0.494 | 10.0 | 18.5 | -8.7 | 2.8 | 2.16 | 14.2 | 0894 | Amor |
| 1998 EC3 | 2.131 | 0.513 | 8.4 | 16.5 | -41.9 | 12.4 | 0.36 | 19.8 | 0873 | Amor |
| 1998 FX134 | 2.262 | 0.428 | 5.2 | 18.5 | -9.0 | 3.8 | 0.22 | 18.6 | 0892 | Amor |
| 1998 HD14* | 0.964 | 0.313 | 7.8 | 21.0 | -27.4 | 41.2 | 1.11 | 19.1 | 0928 | Aten |
| 1998 HT31* | 2.533 | 0.696 | 6.8 | 21.0 | 2.2 | 6.9 | 1.84 | 16.6 | 0932 | Apollo |
| 5653 | 1.794 | 0.304 | 6.9 | 15.4 | 16.7 | -10.2 | 0.39 | 17.6 | 0934 | Amor |
| 1862 | 1.471 | 0.560 | 6.4 | 16.2 | -51.8 | 3.2 | 0.75 | 16.8 | 0935 | Apollo |
| 1994 CK1 | 1.901 | 0.633 | 4.6 | 17.5 | -57.2 | -15.8 | 1.03 | 17.2 | 0988 | Apollo |
| 7889 | 1.261 | 0.346 | 36.9 | 15.3 | -36.8 | 45.8 | 0.81 | 17.8 | 0989 | Apollo |
| 1998 OH* | 1.542 | 0.407 | 24.5 | 16.0 | -29.1 | 56.6 | 0.39 | 18.1 | 1013 | Apollo |
| 1998 OR2* | 2.361 | 0.566 | 5.9 | 16.5 | 16.5 | -11.8 | 0.40 | 19.2 | 1018 | Amor |

Notes: NEAT discoveries are marked by asterisks.

Table 2. Comets Detected with NEAT

| Name | Period (yr) | i (deg) | Perihelion (AU) |
|----------|-------------|---------|-----------------|
| 1996 E1* | Parabolic | 114.4 | 1.35 |
| 1997 A1* | Parabolic | 145.0 | 3.16 |
| 128P-B | 9.51 | 4.4 | 3.05 |
| 69P | 6.97 | 20.5 | 1.95 |
| 21P | 6.61 | 31.9 | 1.03 |
| 1998 M2 | Parabolic | 60.2 | 2.73 |

Notes: NEAT discoveries are marked by asterisks.

Table 3. Unusual Minor Planets Discovered with NEAT

| Name | a (AU) | e | i (deg) | P (yr) | D (km) |
|-----------------------|--------|------|---------|--------|--------|
| 1996 PW | 327 | 0.99 | 29.8 | 4900 | 6.5 |
| 1996 TA ₉ | 2.72 | 0.50 | 12.2 | 4.74 | 0.43 |
| 1997 CO ₅ | 2.62 | 0.48 | 18.9 | 4.25 | 1.5 |
| 1997 GF ₃ | 3.07 | 0.42 | 42.2 | 5.50 | 1.5 |
| 1997 PO | 3.06 | 0.43 | 23.6 | 5.97 | 2.0 |
| 1997 RD ₁ | 2.66 | 0.40 | 13.5 | 4.45 | 3.0 |
| 1997 YL ₁₁ | 2.67 | 0.42 | 36.8 | 4.38 | 1.5 |
| 1998 BE ₇ | 3.09 | 0.51 | 14.4 | 5.12 | 5.0 |
| 1998 FS ₁₁ | 2.29 | 0.41 | 4.3 | 3.46 | 0.43 |

Table 4. Number of NEAs discovered by the major search groups: Oct 15, 1997 to Aug 8, 1998.

| Objects | NEAT | Spacewatch | LINEAR |
|------------------|------|------------|----------|
| Atens | 3 | 0 | 0 |
| Apollos | 7 | 17 | 29 |
| Amors | 6 | 7 | 30 |
| All NEAs | 16 | 24 | 59 |
| All NEAs > 1 km | 7 | 4 | 10 |
| Nights per month | 6 | 18 | 10 to 18 |

APPENDIX A: OPERATIONS SYSTEM

NEAT is an autonomous system. This enhances its efficiency and dramatically reduces personnel and travel costs since there are no on-site observers. Figure A1 shows the system overview. Figure A2 shows the hardware associated with the observing functions (see text). All input and output data are transferred via modem using a commercial (800) number. The set of tasks comprising one night of observations is described in the following.

A.1 Observing Script and Near-Real-time Observations

The observing script is uploaded daily via the modem connection between the NEAT operations computer and JPL. Table A1 shows an excerpt from an observing script. The first line shows the request for dark observations. For these the pointing position is irrelevant (set to 0.,0.) and the shutter does not open. The exposure time is set to 20 s, the same as that for the later celestial observations. Darks are taken in 1-hr intervals. The next set of requested observations are survey observations. They cover a grid in the sky starting at and extending from the ecliptic plane and in increasing R.A. order. Follow-up observations have comments in the last column indicating the target and its properties. The observing script can be updated in real-time using the SUBMIT command. This allows the user to input an observation in the same format it would appear in the observing script. This new observation is then incorporated into the existing script by the SUBMIT process.

A.2 Observing Scenario

Late in the day before NEAT observations are scheduled to begin, the program GETSEQUENCE is run on the operations computer to telemeter the nights observing script from JPL to Maui. Next the program PRERUN is executed to prepare the hard disks for new observations and data. These tasks take about 5 minutes. At astronomical twilight on-site personnel remove the telescope cover (the only task that can not be performed remotely) and begin the observing program. Barring interruptions from weather or equipment problems, on-site personnel are not further tasked until astronomical dawn.

A number of real-time software "managers" run during operations to control the hardware and data flow.. Figure A3 show the software design by real-time element. The real time programs are written and compiled in C while the analysis programs are fortran and C. NEATCTL is the top-level program. Under it is the schedule manager (SCHEDMGR) that organizes the observing script, keeps track of the observations completed and to be done, and creates the queue for analysis. SCHEDMGR tells the observations manager (OBSMGR) the next observation to perform.. OBSMGR passes on the observing request to the telescope manager (TELEMGR). TELEMGR points the telescope, moves the dome, and sidereally tracks at the positions provided by OBSMGR. After TELEMGR moves the telescope to the requested position, it informs OBSMGR of that fact. OBSMGR then commands the camera to open shutter, take an exposure, close shutter, and download imaging data to hard disk. It then informs SCHEDMGR that the observation is completed. SCHEDMGR updates its checkpoint file of completed observations and prepares the next observing request. It also updates the analysis queue and tells the analysis manager (ANLMGR) to start processing data when a data processing unit is accumulated.

A.3 Timekeeping

Accurate timing is important for orbital ephemerides. NEAT keeps accurate time by using a Datum

Global Positioning System (GPS) receiver. The NEAT system runs XNTPD, an implementation of the Network Time Protocol with a custom driver. XNTPD polls the GPS receiver periodically and analyzes system clock drift compared with the GPS-supplied Universal Time (UT). It adjusts the system clock as necessary to align it with UT. Even if the GPS signal is lost, the clock rate is accurate, although it slowly degrades. The typical accuracy is within 20 ms of UT. All NEAT software requiring current time information, then consults the system clock.

A.4 Operations Stop, Data Transmission, and Archiving

At astronomical dawn the on-site personnel stop the data collection (SCHEDMGR, OBSMGR, and TELEMGR) and stow the telescope. ANLMGR continues to work until all data is processed. Before June 1998 the NEAT system was computation-limited. It required the entire following day to analyze and archive the data obtained in the previous full night. In the morning and during the day as more data was processed, TRANSMIT was run periodically to telemeter results to JPL. Simultaneously ARCHIVE copied the images and results to as many as 3 8-mm tapes. These tasks were completed (barely) before the start of the next observing period.

With the addition of the Enterprise 450 analysis computer and a DLT tape drive, this situation was greatly improved. Now, the data is fully analyzed within minutes after the stop of operations. More importantly this allowed us to institute efficiencies in the NEAT camera and telescope operations system (see The bottleneck has become the transmission of processed data via the modem. In practice because of the necessary use of a commercial phone line, the effective baud rate for these transmissions is about 1.5 kbps. The processed, which can be on order of 10MB, takes about 2 hours to transmit. Partial transmissions during the night's observing period of data already in hand alleviates this bottleneck. A more satisfying solution of increasing the baud rate with a wired connection is being explored.

The extra time afforded because of efficient data analysis has also allowed improvement in the data archiving. There is now time to compress the raw image data by a factor of two using a fast algorithm developed for NEAT by Dr. M. Klimesh of JPL. This algorithm losslessly compresses a 33 MB image file in <10 s on the Enterprise 450. The DLT archive rate of about 5MB s⁻¹ then allows a timely backup of the data. Two to three nights of data fit onto one DLT tape which has a capacity of 35 GB.

Table A1. Partial Observing Script

| R.A. (2000) | Dec | Open | Exposure | Interval | No. of | Comment |
|----------------|---------|----------|----------|----------|-----------|-------------------------|
| (hours) | (deg) | Shutter? | (s) | (s) | Exposures | |
| 0.00000 | 0.0000 | N | 20 | 3600 | 15 | # darks |
| 1.67865 | 1.8769 | Y | 20 | 900 | 3 | |
| 1.63980 | 3.3672 | Y | 20 | 900 | 3 | |
| 1.60082 | 4.8572 | Y | 20 | 900 | 3 | |
| 5.14500 | 27.1333 | Y | 20 | 7200 | 3 | # Comet Tempel 1, 9P |
| 1.56168 | 6.3467 | Y | 20 | 900 | 3 | |
| 1.52230 | 7.8355 | Y | 20 | 900 | 3 | |
| 1.48265 | 9.3234 | Y | 20 | 900 | 3 | |
| 1.44265 | 10.8104 | Y | 20 | 900 | 3 | |
| 1.40226 | 12.2962 | Y | 20 | 900 | 3 | |
| 1.36141 | 13.7807 | Y | 20 | 900 | 3 | |
| 1.32003 | 15.2636 | Y | 20 | 900 | 3 | |
| 1.27807 | 16.7448 | Y | 20 | 900 | 3 | |
| 2.37710 | 5.7329 | Y | 20 | 900 | 3 | |
| 2.34199 | 7.2449 | Y | 20 | 900 | 3 | |
| 2.30665 | 8.7563 | Y | 20 | 900 | 3 | |
| 2.27102 | 10.2670 | Y | 20 | 900 | 3 | |
| 2.23505 | 11.7768 | Y | 20 | 900 | 3 | |
| 2.19868 | 13.2856 | Y | 20 | 900 | 3 | |
| 2.16186 | 14.7932 | Y | 20 | 900 | 3 | |
| 2.12452 | 16.2995 | Y | 20 | 900 | 3 | |
| 2.08660 | 17.8043 | Y | 20 | 900 | 3 | |
| 2.04804 | 19.3074 | Y | 20 | 900 | 3 | |
| 2.00876 | 20.8086 | Y | 20 | 900 | 3 | |
| 3.24348 | 11.2732 | Y | 20 | 900 | 3 | # 1998 BE7 0.58 |

APPENDIX B: ASTEROID DETECTION SOFTWARE

NEAT's goal is discovering new NEOs. Therefore it is imperative that data from the detections each night be completely analyzed *and disseminated* so that follow-up observations can be performed the next night with NEAT or by other observers. Analysis thus occurs in real time or near-real time depending upon the backlog of analysis units. An analysis unit consists of three sky images with almost the same center and orientation taken 10 minutes or longer apart. Since each image consists of about 1.6×10^7 pixels, each 2-bytes, an analysis unit is about 100 MB of data. The following subroutines execute:

B.1 REMDARK subtracts the nearest dark (exposure with the shutter closed) in time from each of the images. Bad pixels - about 0.25% of the total - are also removed.

B.2 ORDSTAT computes statistics from the dark-subtracted images such as the average count rate and the count rate moments. Since each quadrant of the CCD has its own output amplifier (see text), statistics for each are calculated separately. A local background is computed in each few hundred pixel area and subtracted to flat-field the image.

B.3 STARCAT finds the objects in the three images. Objects have count rates that are larger than N_1 sigma over local median, and consist of $N_2 \times N_3$ contiguous pixels, where the N_i are selectable. Current values of $N_1 = 3, 2, 1$ strike a balance detecting enough real objects just above the background and not too many false objects. For each object STARCAT records its catalog entry number, sum of data numbers minus background (= intensity), number of pixels, starting X-value, starting Y-value, X length, Y length, X center, Y center, major axis length, minor axis length, ratio of minor to major axis, and rotation angle of ellipse.

The number of objects found in each field range from 3000 to more than 10000 as the galactic latitudes decrease. For latitudes less than about 10° the number of stars in the galactic plane gets so large that the analysis takes longer with less chance of success because of source confusion. These latitudes are avoided in the search program. For the middle image STARCAT repeats the object finding at a lower threshold, $N_1 = 2.5$. This list of objects is used as a fiducial.

At this point, the analysis is finished with the image data until the final step. All further analysis is performed on the tables of output data that consist of the lists of objects, their pixels positions, their shapes (length and width), and intensities.

B.4 RMSCHK looks at the root-mean-square (rms) of the objects numbers found in the three images. If this number is larger than a threshold of several thousand, then the analysis is aborted. This can happen if the weather is variable and the scene becomes very cloudy in one of the images, or if there is some camera failure, e.g. the shutter did not open. On a clear night, rms will range from less than one hundred to several hundred.

B.5 TABREG registers the first and third images to the second image by cross-correlating the detected objects. Although the pointing position and orientation are closely reproduced, small offsets of order arcminutes of translation, fractional degree of rotation, and 10^{-4} scale changes are introduced by the telescope and pointing system. TABREG solves for six coefficients of a transformation that best overlays the images. The largest of the two coefficients are the X and Y translations. Their starting values are estimated accurately as the maxima X and Y values of the histogram of offsets between all the objects in the two tables. The other four parameters account for scale changes (perhaps due to focus drift) and rotations. These are smaller than the translations by factors of ~ 20 even on the edges of the field where there effects are maximal. A least squares fit using the 100 brightest objects in each table solves for the final values.

B.5 TABMATCH compares the image tables to eliminate stationary objects - stars and galaxies. It creates three final output tables corresponding to the objects in image 1 only, image 2 only, and image 3 only. TABMATCH operates on two input tables at a time. It first geometrically registers the inputs tables to each other by using the coefficients derived in TABREG. The output table consists of all objects that are found in input table 2 AND not in the input table 1. Objects in the two input tables are identified with each other and thus eliminated from the output table using one of two options:

their centroids are within N_4 pixels of each other, or their areal locations overlap. In practice it was found that the second option eliminated bright, slow moving asteroids and comets, so the first option is used with $N_4 = 3$. After six applications of TABMATCH, the three output tables are: (1) objects in input table 1 not in input table 2 at lower threshold, and not in the table of objects in input table 3 not in input table 2 at lower threshold, (2) objects in input table 2 not in input tables 1 and 3, and (3) objects in input table 3 not in input table 2 at the lower threshold, and not in the table of objects in input table 1 not in input table 2 at the lower threshold.

B.6 TABEDIT edits the output tables of TABMATCH to eliminate “clusters” of objects. Clusters are defined herein as more than one object in a 20 pixel radius. These were found to be almost exclusively due to the diffraction spikes around bright stars.

B.7 NEOFIND uses as inputs the three output tables of TABMATCH and TABEDIT. For each pair of objects, the first from output table 1 and the second from output table 3, NEOFIND searches output table 2 for an object that is within 1.8 arcsec of their interpolated linear motion. If an object is found in table 2, then the three objects are output as a candidate asteroid or comet. NEOFIND limits the pairs of objects to within a 300-pixel search radius of each other, which at the typical NEAT re-observation interval corresponds to objects moving less than 6 degrees/day. Since the maximum velocity of any object detected with NEAT has been below this threshold, the time saved in limiting the search (which increases with the square of the search radius) has little consequence in objects not detected.

B.8 If no asteroid/comet candidates are found the processing stops. Otherwise TABMAP finds the fields from the Guide Star Catalog (1989) that overlap the image based upon the nominal pointing position. This nominal pointing position is accurate to ~ 0.1 degree or less, much smaller than the NEAT or guide star fields. TABMAP compiles a list of the guide stars and their positions from these fields.

B.9 STARREG finds the 1000 brightest stars from the table of the second image and correlates them with the guide stars to solve for a 6 parameter (3 in each dimension) transformation between pixel $[X, Y]$ and $[Right\ Ascension, Declination]$. The parameters account for translation, rotation, and scale changes. This is a multi-step process because the GEODSS field has significant optical aberrations at the edges. The important aberration is distortion whose affect is to cause a cubic deviation from linearity with radius of object positions. While the magnitude of this term is well-measured, the phase is depends upon the center of the field, which is not well-determined, and changes depending on slight differences in the mounting of the camera on the telescope (of order a hundred microns). The aberration adds to the effects of the translation, rotation, and scale change, and all are required to fit the guide stars to the NEAT bright stars. First the brightest stars in the central 2000 pixels are correlated. In this region the effect of distortion is negligible so knowledge of the center of distortion is not critical. The 6 coefficients determined in this step are used to fit the stars in the central 3000 pixels. The fit is bootstrapped until the entire field is correlated with guide stars. The astrometric precision is of order 0.3 arcsec.

B.10 ASTROM applies the coefficients calculated in STARREG to transform the $[X, Y]$ positions of the moving object candidates into $[RA, Dec]$.

B.11 NEOEDIT compares the three looks at each candidate moving object. If the variations in intensity, shape (ratio of minor-to-major axes), or orientation (rotation angle of ellipse) exceed their thresholds, the candidate is rejected.

B.12 Finally PATCHES goes back to the original images and extracts a small square area around each candidate (typically 18 or 25 pixels on a side) at each of the three [X,Y] positions and from each of the three images. These sub-images are reduced to 8 bits/pixel. Every candidate object is thus represented by nine sub-images. These are arranged for post-analysis as shown in Figures 3 and 4. These "patches" are a most important part of the post-analysis providing a sanity check for the reality of objects and a means of detecting comets.

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FIGURE CAPTIONS

Figure 1. Schematic diagram of NEAT camera.

Figure 2. A search pattern planned for 1998 September 14 to 19. Crosses show areas to be searched on the first and 6th night. Open triangles, filled circles, open boxes, and filled triangles show areas to be searched on nights 2, 3, 4, and 5, respectively. The large gaps in the coverage are due to the galactic plane. Smaller gaps are Spacewatch regions that NEAT cooperatively avoids.

Figure 3a. PATCHVIEW display of the discovery image of 1998 DG₁₆, an Aten Earth-crossing asteroid. b. PATCHVIEW display of false positive.

Figure 4. PATCHVIEW discovery image of Comet 1997A1.

Figure 5. Number of detected asteroids (solid line) and number of detected NEAs (dotted line) vs apparent V magnitude. The heavy line is a linear fit to the distribution from $V = 12$ to 18. Limiting magnitude, V_1 , occurs where the observed distribution deviates from the linear fit by 0.5.

Figure 6 Detection efficiency (heavy solid line), number of expected detections of numbered asteroids (light solid line) and number of detected numbered asteroids (dotted line) as a function of (a) of apparent angular rate and (b) of apparent V magnitude.

Figure 7. Cumulative sky coverage (solid line) and cumulative number of detected NEAs (dotted line) vs Julian date. Large open circles and small filled circles show detections of NEAs larger and smaller than 1 km ($H < 18$ and $H \geq 18$), respectively. Labeled events are a) NEAT begins, b) 4k x 4k CCD replace 2k x 2k CCD, c) number of nights per month's run is decreased from 12 to 6, d) readout speed is increased from 50 kpixels s⁻¹ to 200 kpixels s⁻¹ with the new SDSU electronics, and e) efficiencies are introduced in camera and telescope operations with the new computer system.

Figure 8. Celestial coordinates of areas searched by NEAT December 1995 to July 1998.

Figure 9. Area searched (solid line), number of NEAs detected (dotted line), and number of main-belt asteroids detected (light dashed line) as a function (a) of longitude from opposition and (b) of \sin (latitude)..

Figure 10. Number of NEAs discovered by (a) NEAT, (b) Spacewatch, and (c) LINEAR vs absolute magnitude, H , over the period October 15, 1997 to August 8, 1998, when all the programs operated nominally.

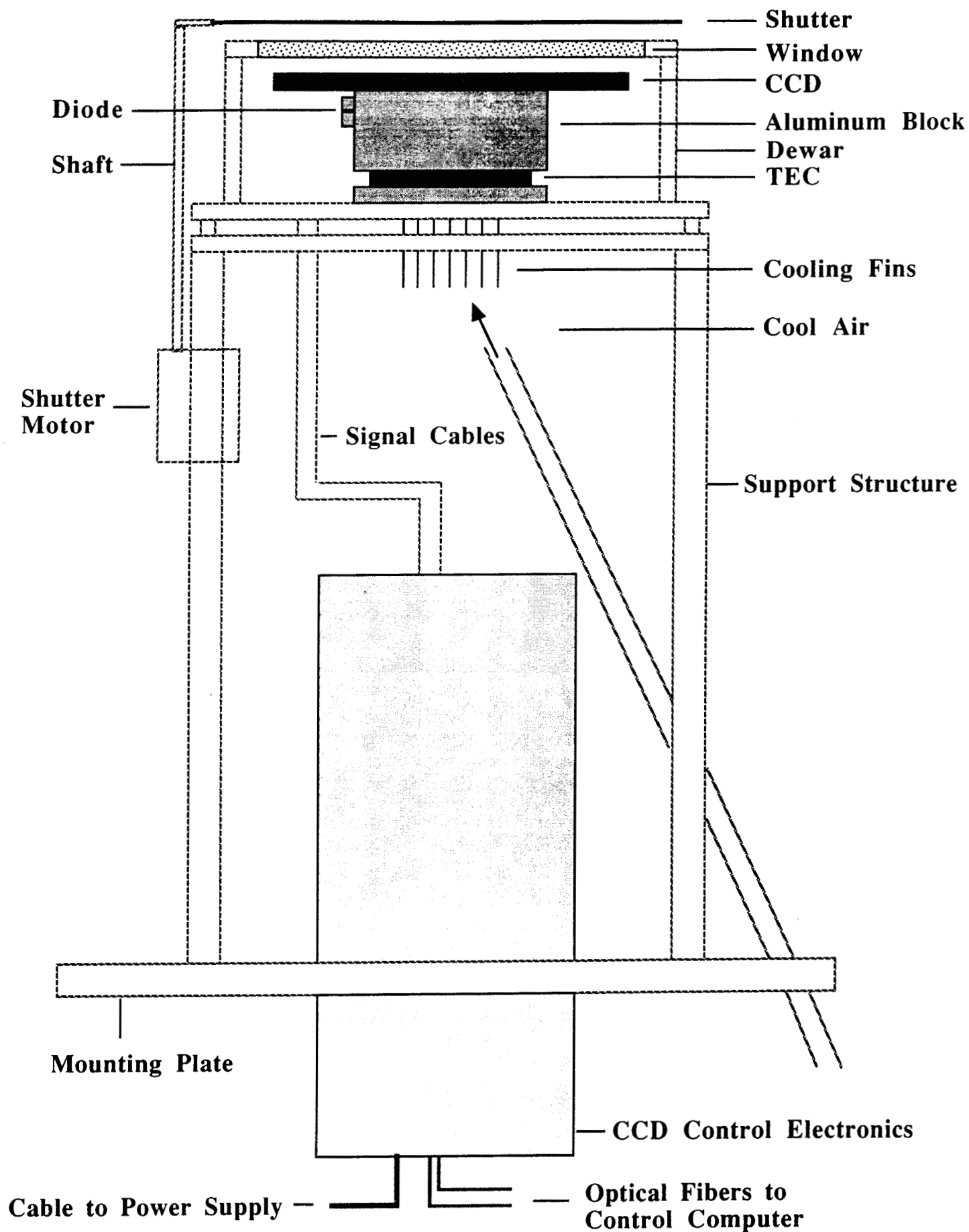
Figure 11. NEAT image of artificial satellites. Gaps appear in the streaks during which time the shutter was held closed.

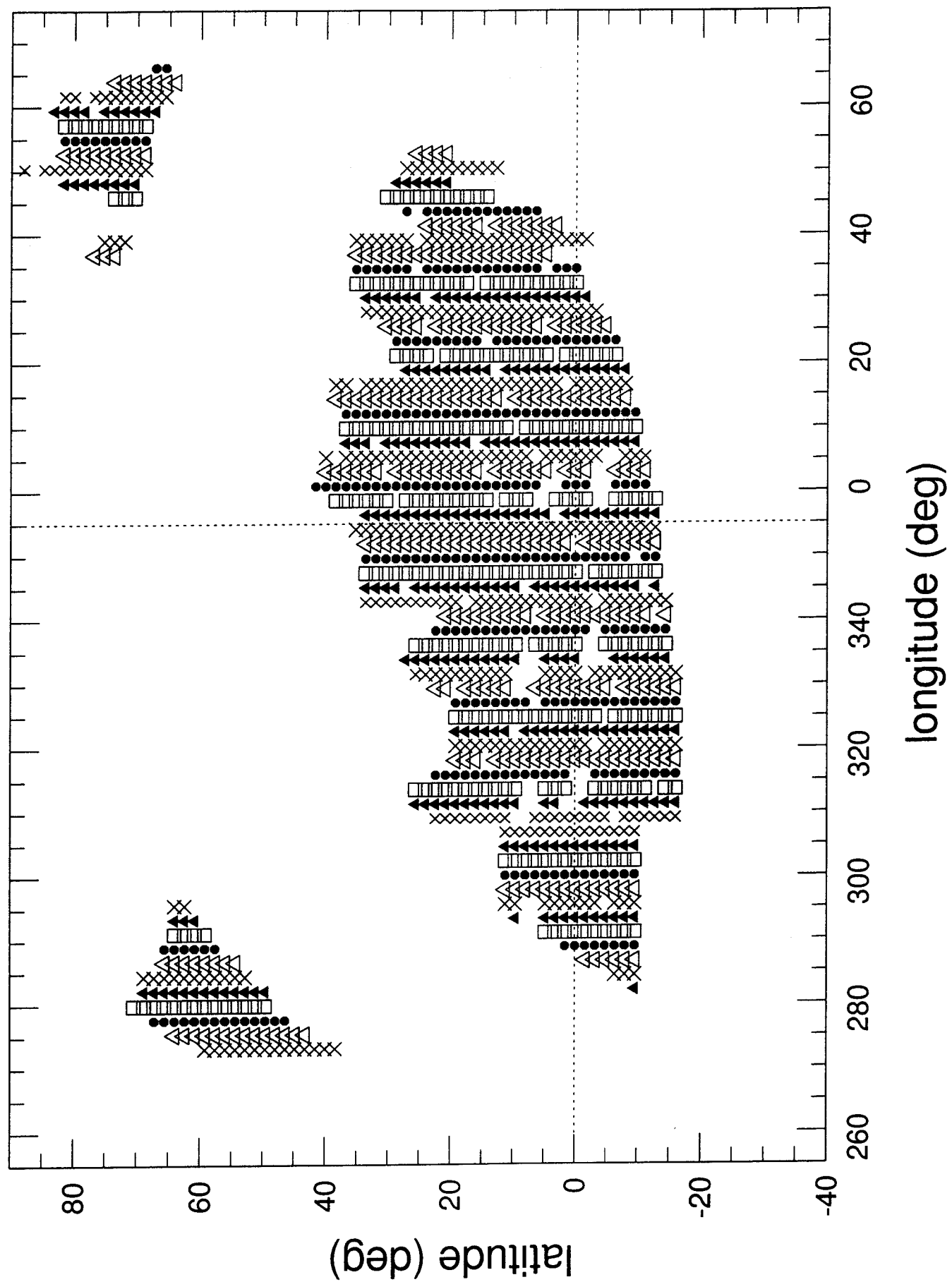
Figure 12. Observed minus predicted positions for artificial satellites observed with the NEAT system.

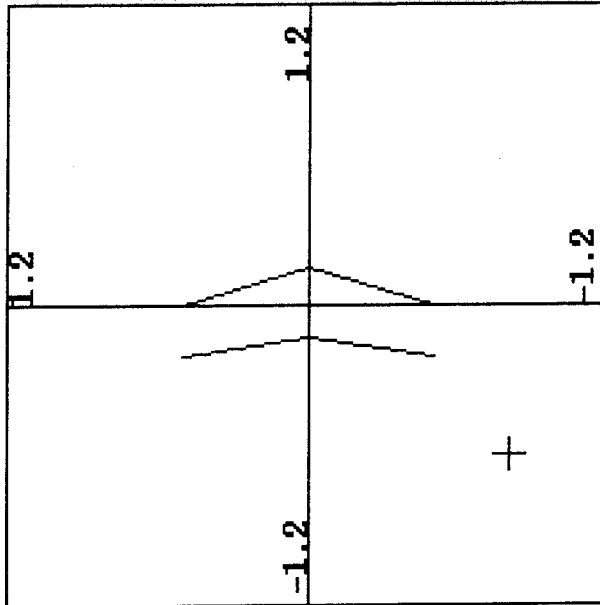
Figure A1. NEAT system overview

Figure A2 Hardware configuration

Figure A3 Operations system







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Open) Keep) Unkeep) Info) upper limit: 255 0 255

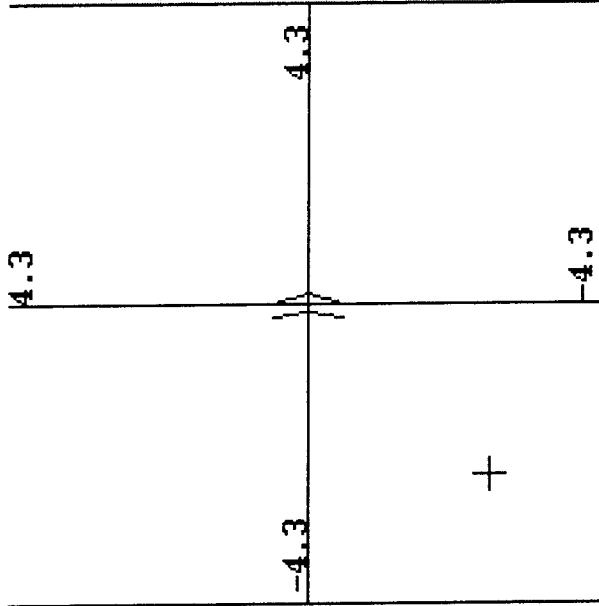
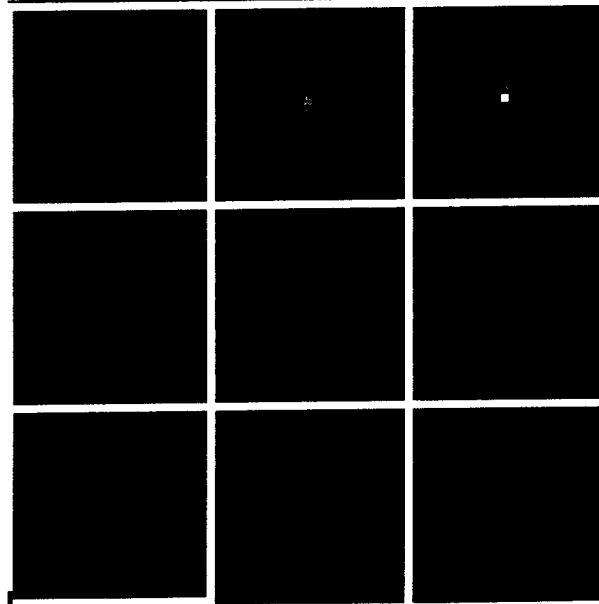
Zoom) Unzoom) Log) Quit) GSC's found: 378 GSC's used: 273

id: 980227142359 object 5 BQLC1W rate: 1.01 (1.01) deg/day

date: c1998 2 27.60011 residual: 0.50 arcsec

position: 13 0 58.89 - 9 59 11.1 opp. lon, lat: 39.87 -3.22 deg

V mag: 19.1 type: EA note: Search) BQLC1W Screen)



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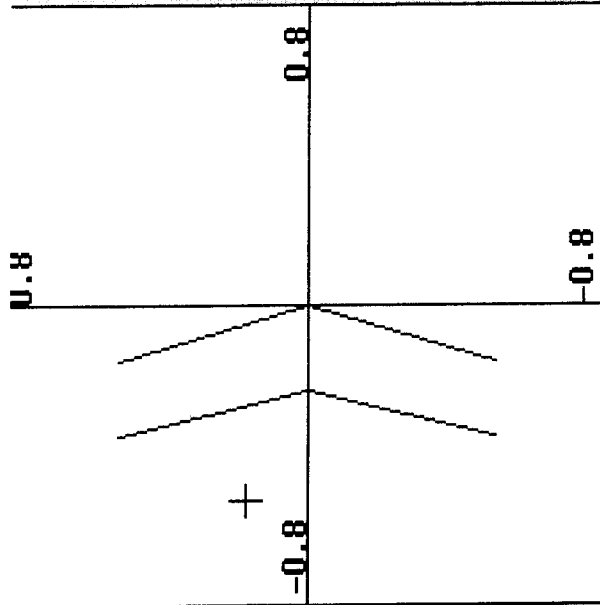
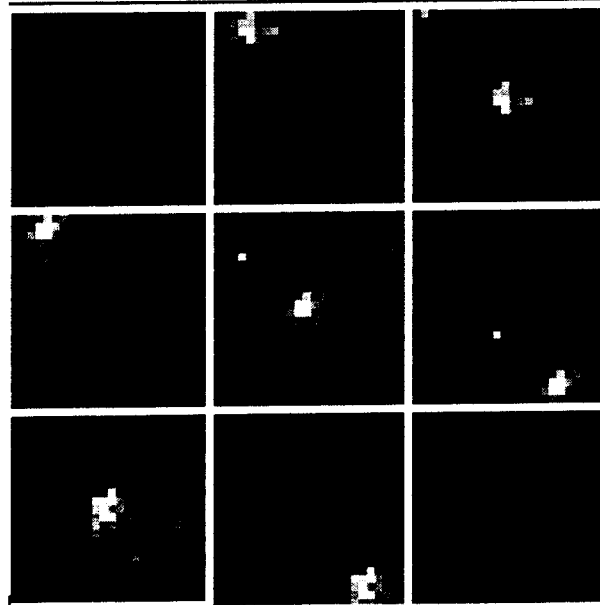
Zoom) Unzoom) Log) Quit) GSC's found: 1008 GSC's used: 362

id: 980227144217 object 3 BQLHZC rate: 3.55 (3.64) deg/day

date: C1998 2 27.61282 residual: 0.08 arcsec

position: 13 13 53.26 - 3 55 39.6 opp. lon, lat: 40.49 3.58 deg

v mag: 20.1 type: EA note: Search) BQLC1W Screen)



<- # 167 ->

Open Keep Unkeep Info lower limit: 0 0 255

Zoom Unzoom Log Quit upper limit: 255 0 255

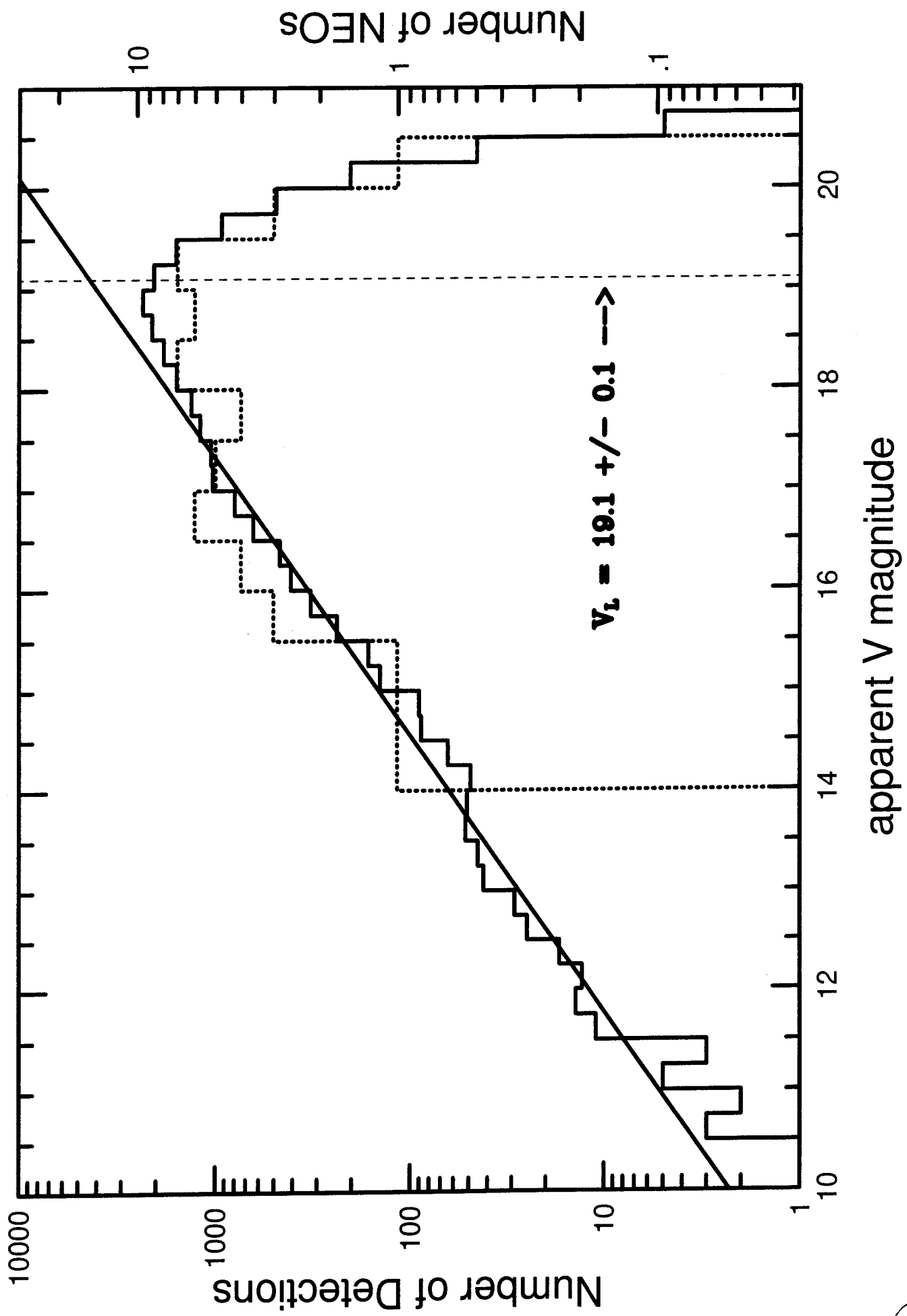
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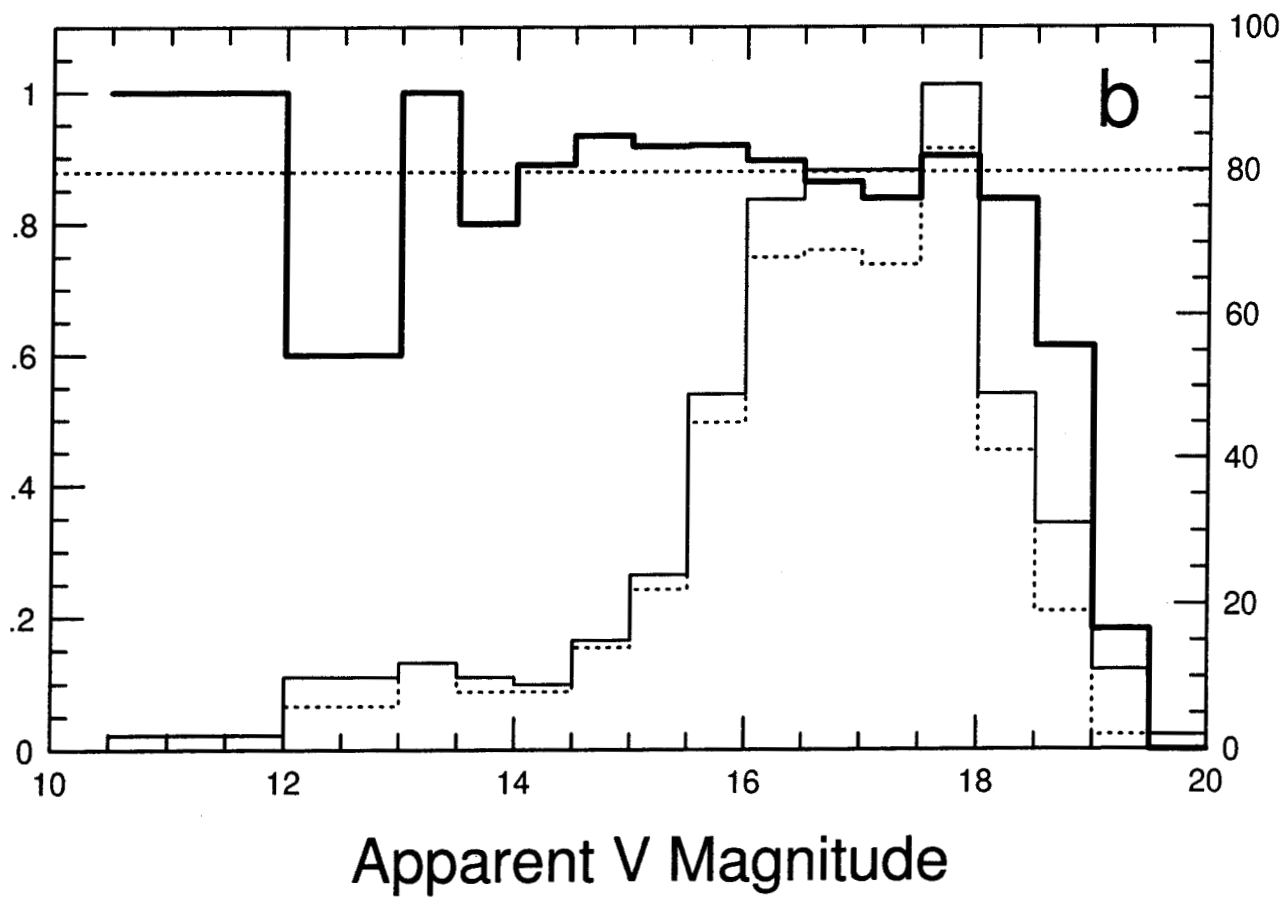
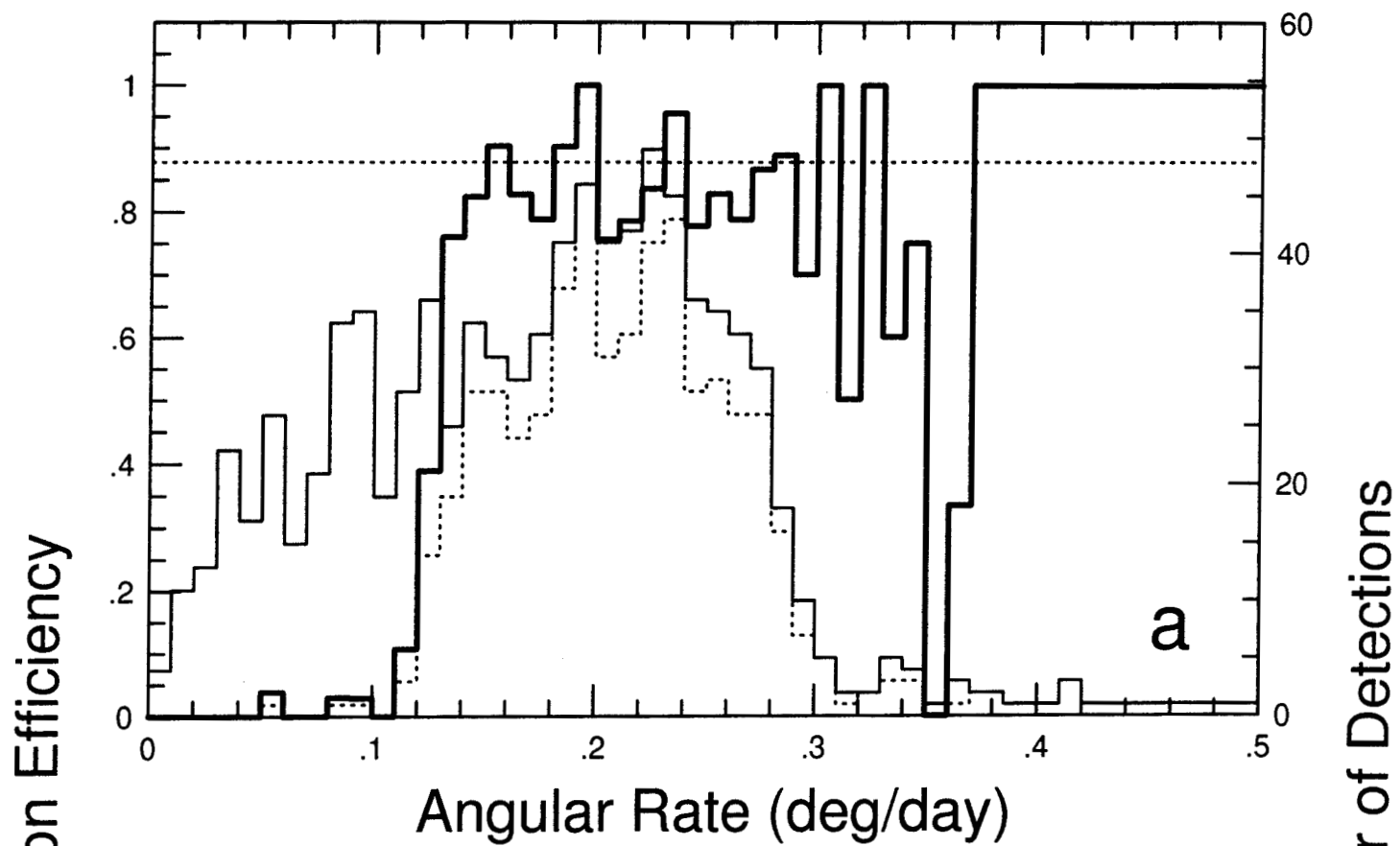
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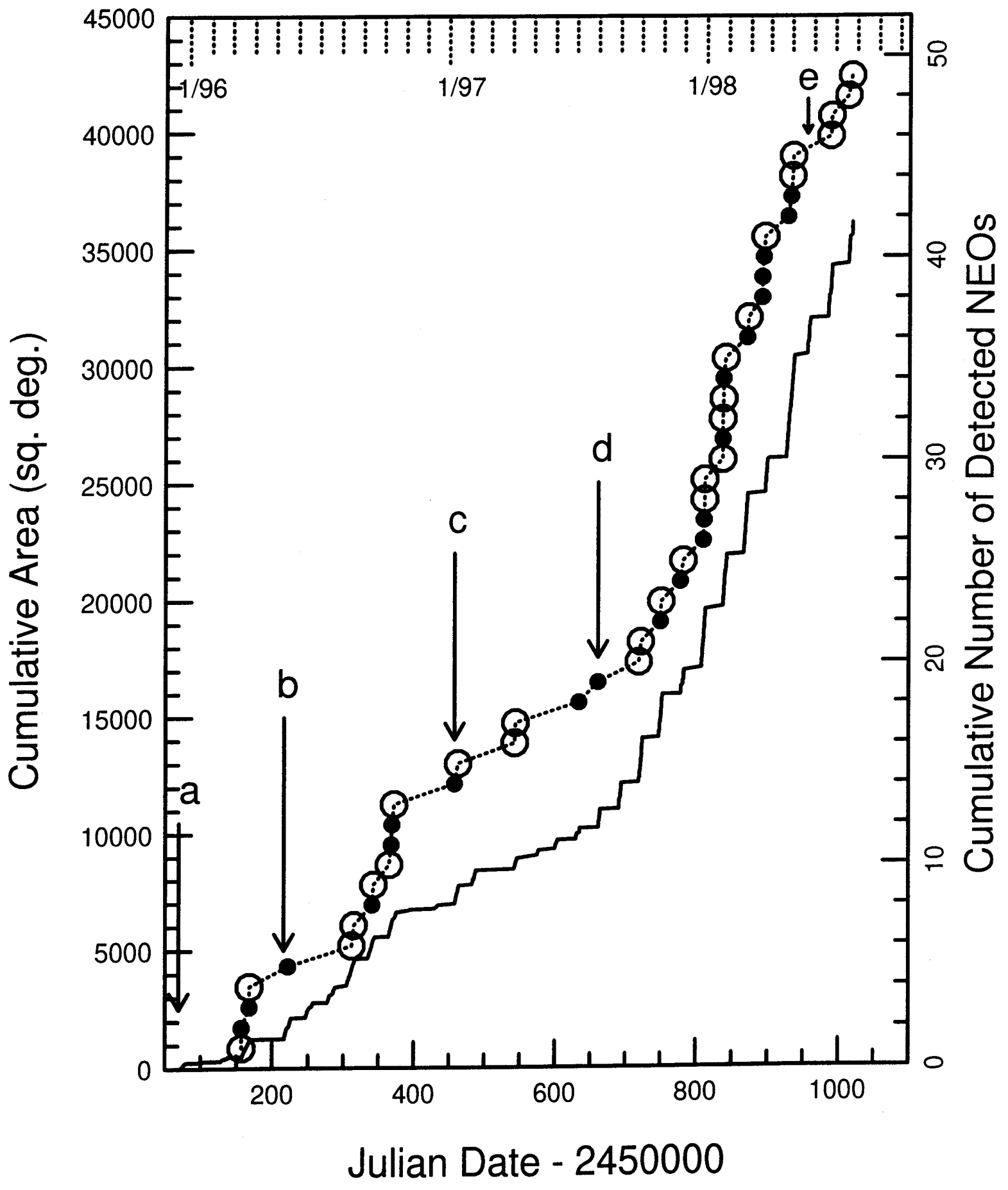
position: 9 39 18.96 +16 26 18.9 opp. lon, lat: 32.42 2.28 deg

v mag: 18.6 type: EA Search 7JBSB2 Screen

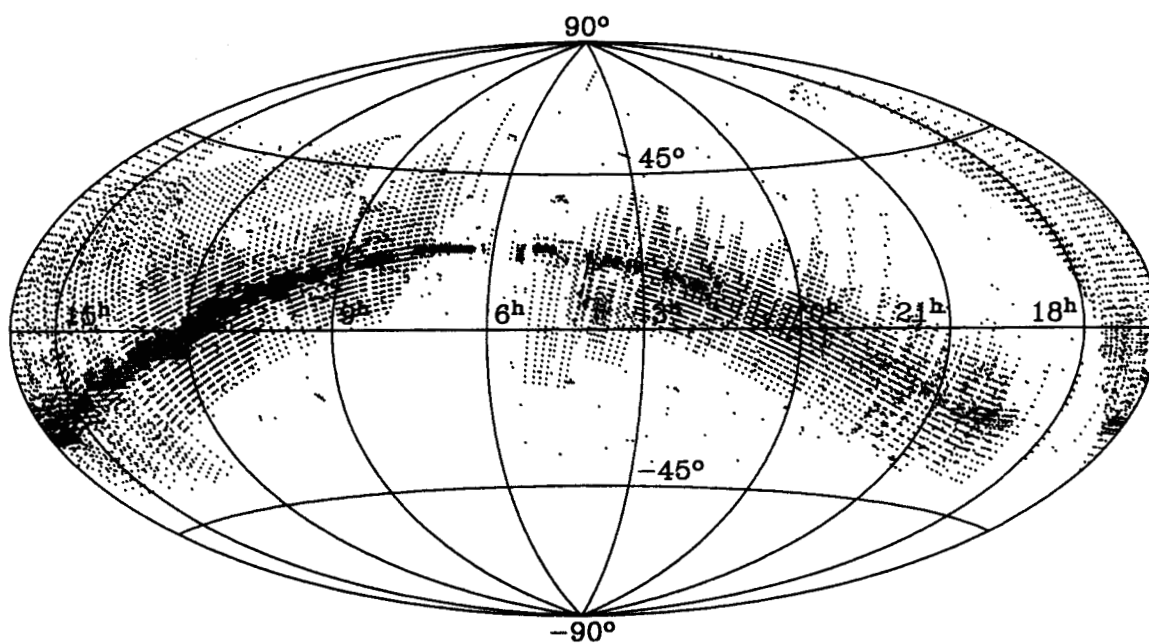
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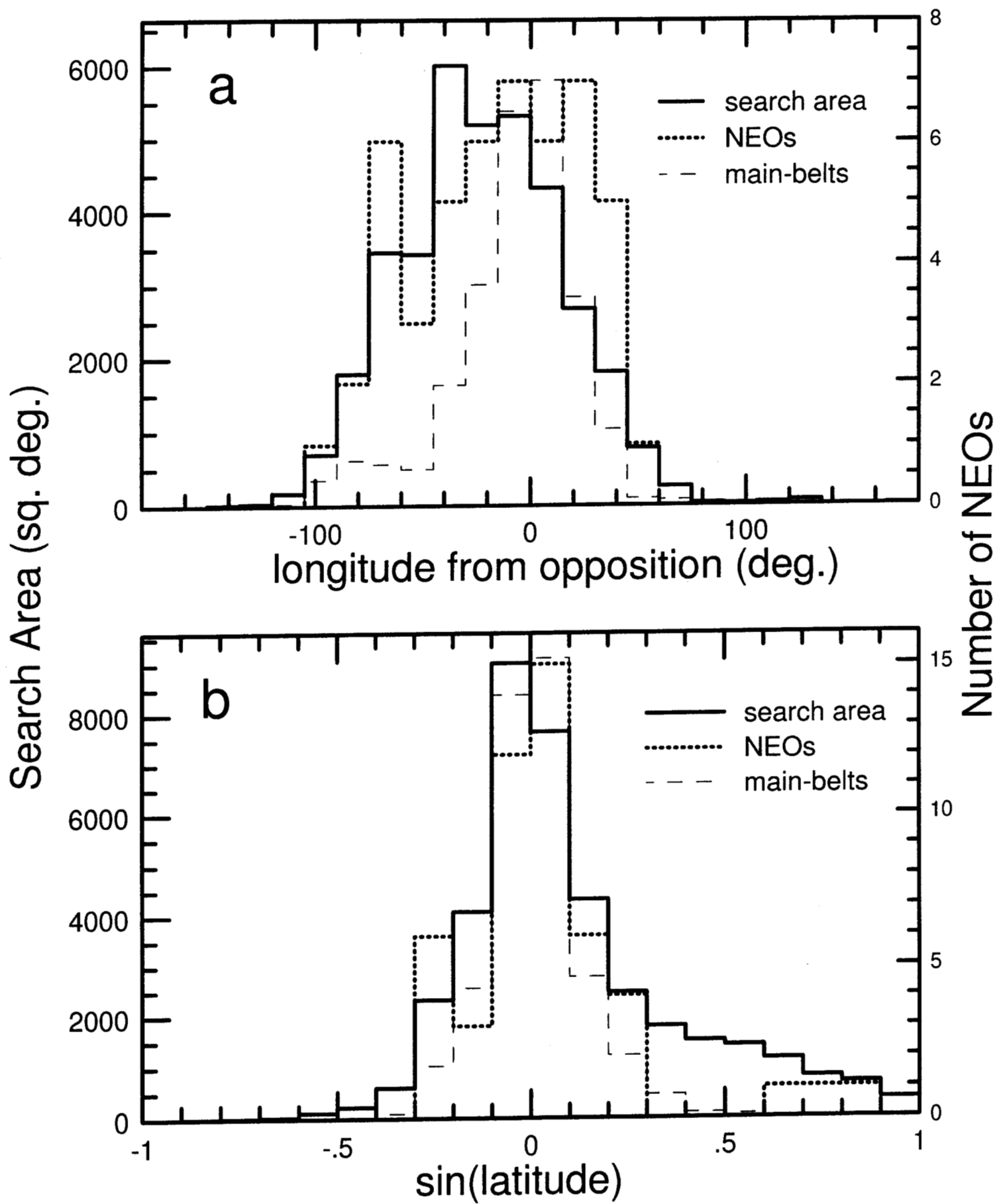


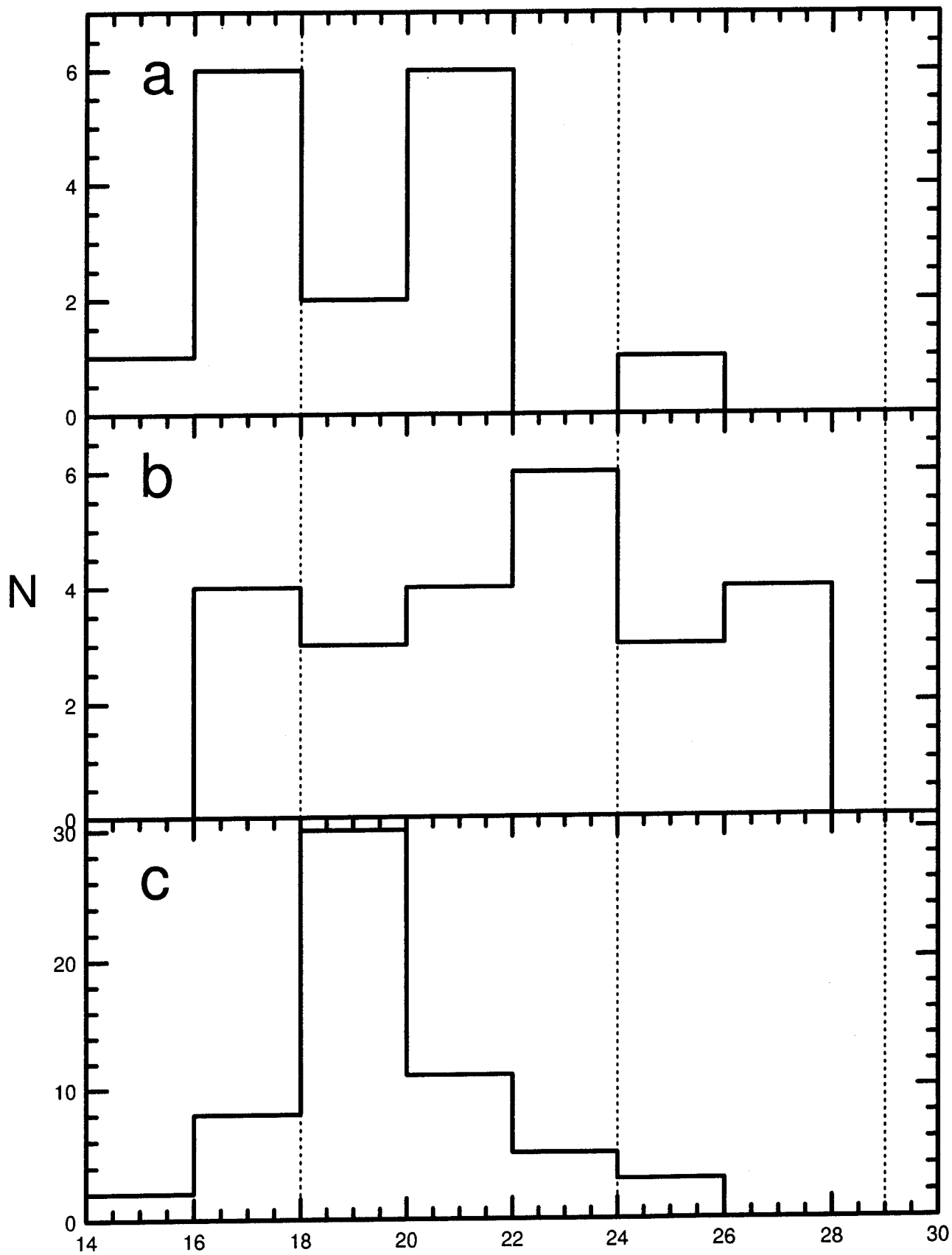




NEAT Sky Coverage (Dec95toJul98)

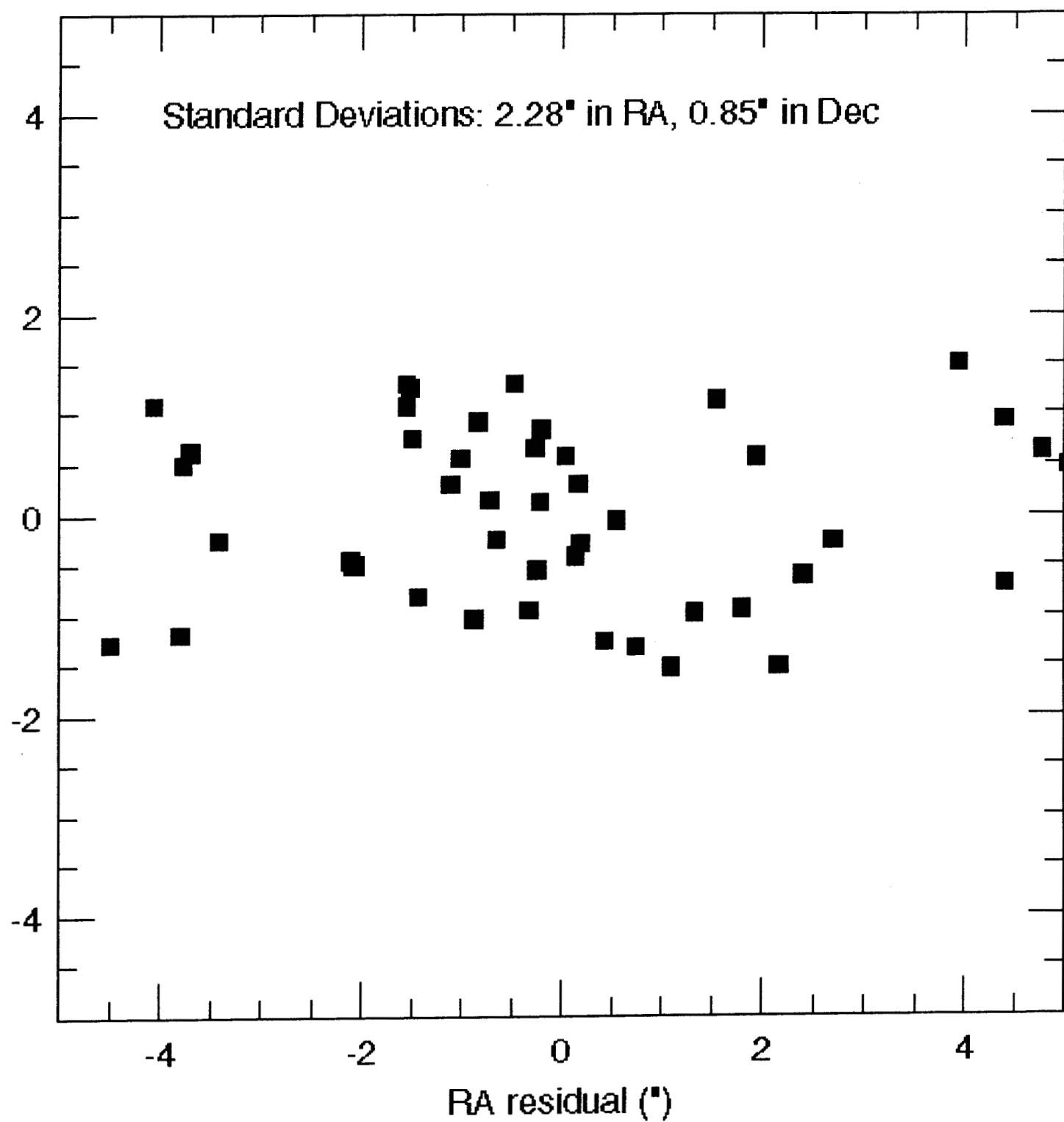




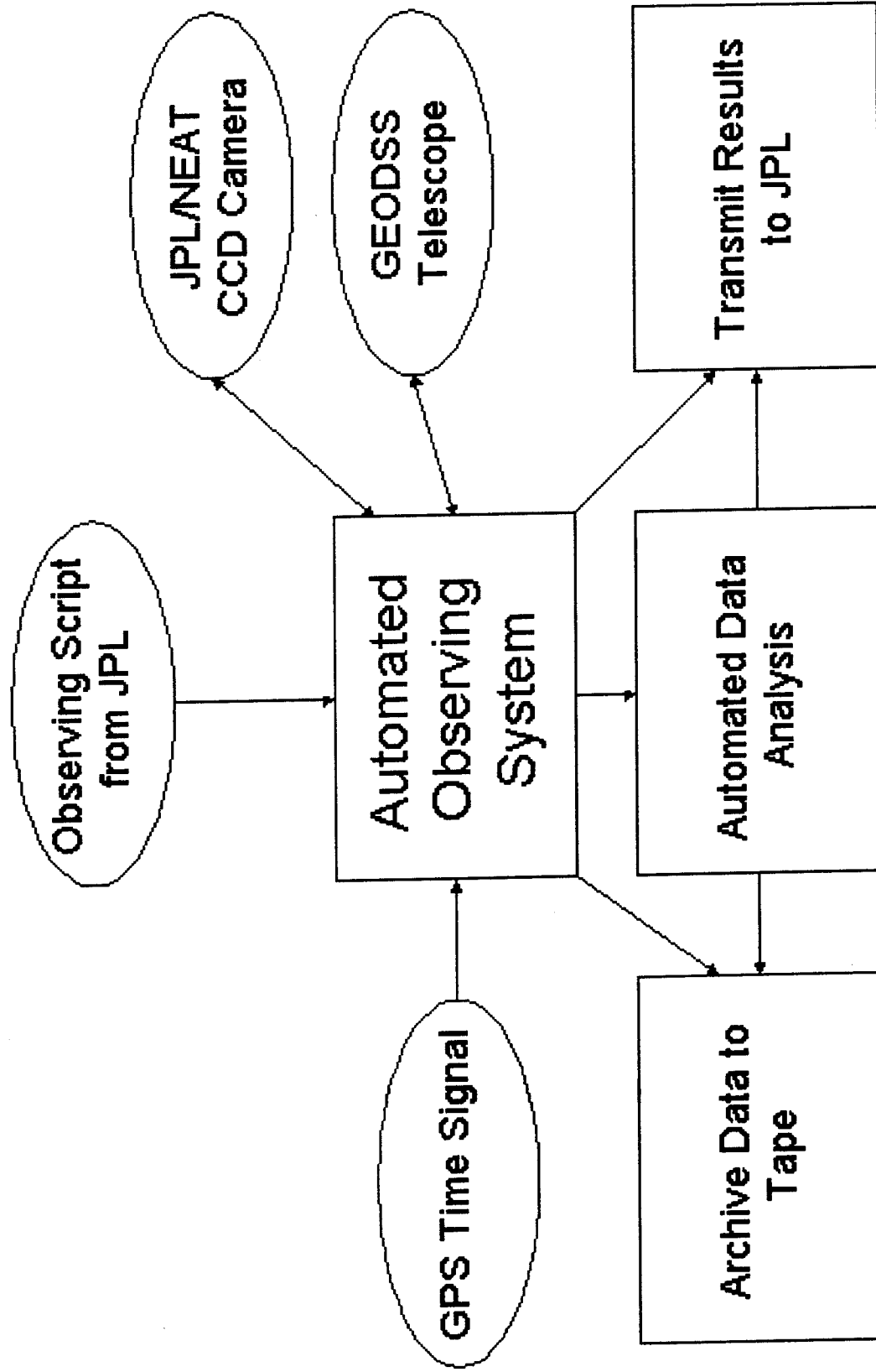




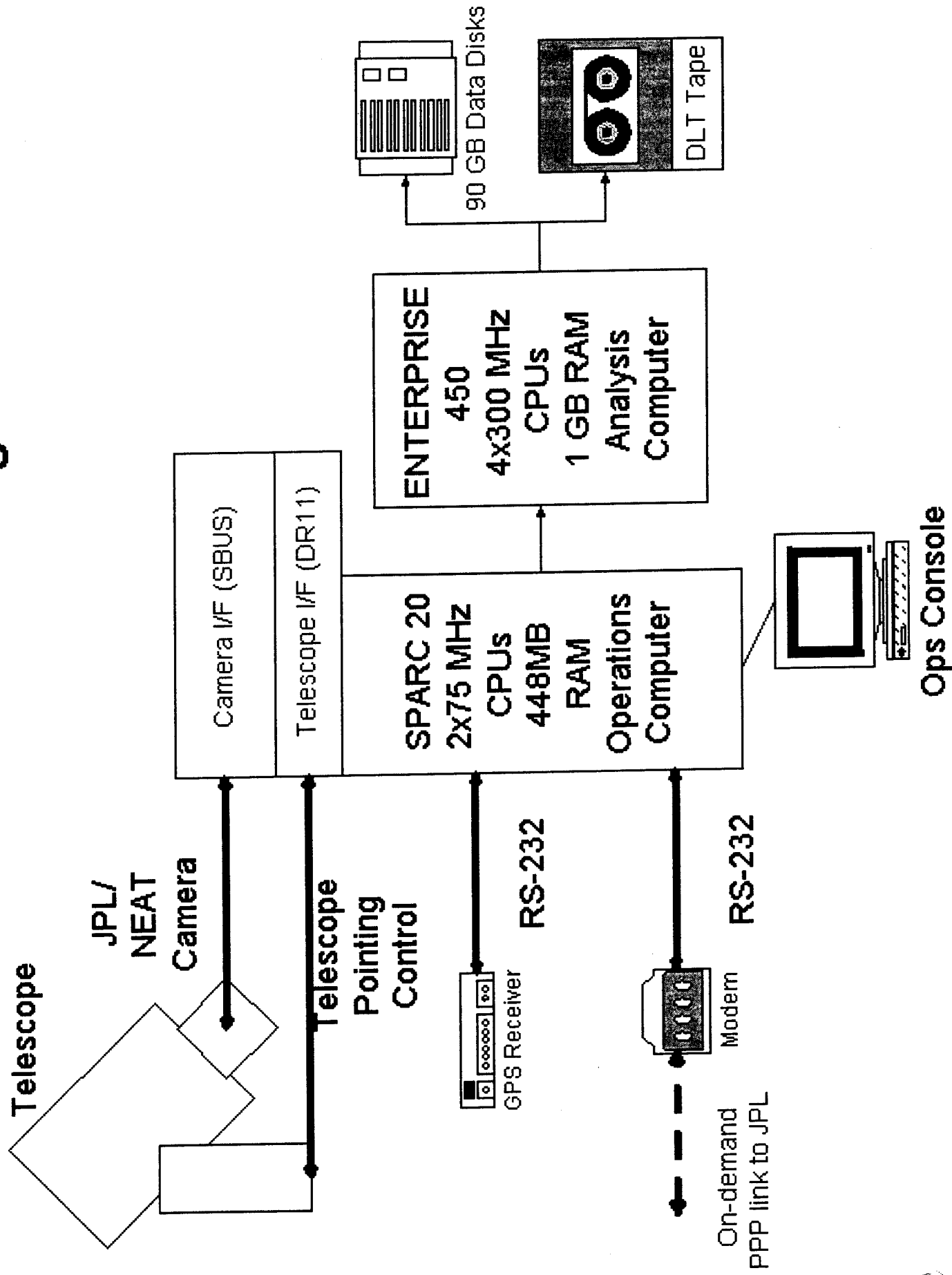
Observed - Predicted Positions for Art. Satellites: NEAT/USAF May 5 199



NEAT System Overview



Hardware Configuration



Operations System

